

Federal Department of Economic Affairs, Education and Research EAER

State Secretariat for Economic Affairs SECO Bilateral Economic Relations Sanctions

Version of 20.05.2014

Sanctions program: Situation in der Ukraine: Verordnung vom 2. April 2014 über Massnahmen zur Vermeidung der Umgehung internationaler Sanktionen im Zusammenhang mit der Situation in der Ukraine (SR 946.231.176.72), Anhang **Origin:** EU **Sanctions:** Art. 1 (Verbot der Eröffnung neuer Geschäftsbeziehungen)

Sanctions program: Situation en Ukraine: Ordonnance du 2 avril 2014 instituant des mesures visant à empêcher le contournement de sanctions internationales en lien avec la situation en Ukraine (RS 946.231.176.72), annexe **Origin:** EU **Sanctions:** art. 1 (Interdiction de nouer de nouvelles relations d'affaires)

Sanctions program: Situazione in Ucraina: Ordinanza del 2 aprile 2014 che istituisce provvedimenti per impedire l'aggiramento delle sanzioni internazionali in relazione alla situazione in Ucraina (RS 946.231.176.72), allegato **Origin:** EU **Sanctions:** art. 1 (Divieto di apertura di nuove relazioni d'affari)

Individuals

SSID: 175-27685 Name: Volodin Vyacheslav Viktorovich

DOB: 4 Feb 1964 POB: Alekseevka, Saratov region

Justification: First Deputy Chief of Staff of the Presidential Administration of Russia. Responsible for overseeing the political integration of the annexed Ukrainian region of Crimea into the Russian Federation. **Modifications:** Listed on 20 May 2014

SSID: 175-27692 Name: Shamanov Vladimir

DOB: 15 Feb 1954 POB: Barnaul

Justification: Commander of the Russian Airborne Troops, Colonel-General. In his senior position holds responsibility for the deployment of Russian airborne forces in Crimea. **Modifications:** Listed on 20 May 2014

SSID: 175-27699 Name: Pligin Vladimir Nikolaevich

DOB: 19 May 1960 **POB:** Ignatovo, Vologodsk Oblast, Russian Federation **Justification:** Chair of the Duma Constitutional Law Committee. Responsible for facilitating the adoption of legislation on the annexation of Crimea and Sevastopol into the Russian Federation. **Modifications:** Listed on 20 May 2014

SSID: 175-27706 Name: Jarosh Petr Grigorievich

Justification: Acting Head of the Federal Migration Service office for Crimea. Responsible for the systematic and expedited issuance of Russian passports for the residents of Crimea. **Modifications:** Listed on 20 May 2014

SSID: 175-27710 Name: Kozyura Oleg Grigorievich

DOB: 19 Dec 1962 POB: Zaporozhye

Justification: Acting Head of the Federal Migration Service office for Sevastopol. Responsible for the systematic and expedited issuance of Russian passports for the residents of Crimea. **Modifications:** Listed on 20 May 2014

SSID: 175-27717 Name: Ponomariov Viacheslav

Justification: Self-declared mayor of Slaviansk. Ponomarev called on Vladimir Putin to send in Russian troops to protect the city and later asked him to supply weapons. Ponomarev's men are involved in kidnappings (they captured Ukrainian reporter Irma Krat and Simon Ostrovsky, a reporter for Vice News, both were later released, they detained military observers under OSCE Vienna Document). **Modifications:** Listed on 20 May 2014

SSID: 175-27721 Name: Bezler Igor Mykolaiovych

DOB: 1965

Justification: One of the leaders of self-proclaimed militia of Horlivka. He took control of the Security Service of Ukraine's Office in Donetsk region building and afterwards seized the Ministry of Internal Affairs' district station in the town of Horlivka. He has links to Ihor Strielkov under which command he was involved in the murder of Peoples' Deputy of the Horlivka's Municipal Council Volodymyr Rybak according to the SBU. **Modifications:** Listed on 20 May 2014

SSID: 175-27726 Name: Kakidzyanov Igor

Justification: One of the leaders of armed forces of the self-proclaimed "Donetsk People's Republic". The aim of the forces is to "protect the people of Donetsk People's Republic and territorial integrity of the republic" according to Pushylin, one of the leaders of the "Donetsk People's Republic". **Modifications:** Listed on 20 May 2014

SSID: 175-27730 Name: Tsariov Oleg

Justification: Member of the Rada. Publicly called for the creation of the Federal Republic of Novorossia, composed of South Eastern Ukrainian regions. **Modifications:** Listed on 20 May 2014

SSID: 175-27734 Name: Lyagin Roman

Justification: Head of the "Donetsk People's Republic" Central Electoral Commission. Actively organised the referendum on 11 May on the self-determination of the "Donetsk People's Republic". **Modifications:** Listed on 20 May 2014

SSID: 175-27738 Name: Malykhin Aleksandr

Justification: Head of the "Lugansk People's Republic" Central Electoral Commission. Actively organised the referendum on 11 May on the self-determination of the "Lugansk People's Republic". **Modifications:** Listed on 20 May 2014

SSID: 175-27742 Name: Poklonskaya Natalia Vladimirovna

DOB: 18 Mar 1980 POB: Eupatoria

Justification: Prosecutor of Crimea. Actively implementing Russia's annexation of Crimea. **Modifications:** Listed on 20 May 2014

SSID: 175-27749 Name: Shevchenko Igor Sergeievich

Justification: Acting Prosecutor of Sevastopol. Actively implementing Russia's annexation of Sevastopol. **Modifications:** Listed on 20 May 2014

SSID: 175-27287 Name: Aksyonov Sergey Valeryevich DOB: 26 Nov 1972

Justification: Aksyonov was elected "Prime Minister of Crimea" in the Crimean Verkhovna Rada on 27 Feb 2014 in the presence of pro-Russian gunmen. His "election" was decreed

unconstitutional by Oleksandr Turchynov on 1 Mar. He actively lobbied for the "referendum" of 16 Mar 2014. **Modifications:** Listed on 2 Apr 2014

SSID: 175-27292 Name: Konstantinov Vladimir Andreevich

DOB: 19 Mar 1967

Justification: As speaker of the Supreme Council of the Autonomous Republic of Crimea, Konstantinov played a relevant role in the decisions taken by the Verkhovna Rada concerning the "referendum" against territorial integrity of Ukraine and called on voters to cast votes in favour of Crimean Independence. **Modifications:** Listed on 2 Apr 2014

SSID: 175-27297 Name: Temirgaliev Rustam Ilmirovich

DOB: 15 Aug 1976

Justification: As Deputy Chairman of the Council of Ministers of Crimea, Temirgaliev played a relevant role in the decisions taken by the Verkhovna Rada concerning the "referendum" against territorial integrity of Ukraine. He lobbied actively for integration of Crimea into the Russian Federation. **Modifications:** Listed on 2 Apr 2014

SSID: 175-27302 Name: Berezovskiy Deniz Valentinovich

DOB: 15 Jul 1974

Justification: Berezovskiy was appointed commander of the Ukrainian Navy on 1 Mar 2014 and swore an oath to the Crimean armed force, thereby breaking his oath. The Prosecutor-General's Office of Ukraine launched an investigation against him for high treason. **Modifications:** Listed on 2 Apr 2014

SSID: 175-27307 Name: Chaliy Aleksei Mikhailovich

DOB: 13 Jun 1961

Justification: Chaliy became "Mayor of Sevastopol" by popular acclamation on 23 Feb 2014 and accepted this "vote". He actively campaigned for Sevastopol to become a separate entity of the Russian Federation following a referendum on 16 Mar 2014. **Modifications:** Listed on 2 Apr 2014

SSID: 175-27312 Name: Zima Pyotr Anatoliyovych

Justification: a) Zima was appointed as the new head of the Crimean Security Service (SBU) on 3 Mar 2014 by "Prime Minister" Aksyonov and accepted this appointment. He has given relevant information including a database to the Russian Intelligence Service (SBU). This included information on Euro-Maidan activists and human rights defenders of Crimea. He played a relevant role in preventing Ukraine's authorities from controlling the territory of Crimea. b) On 11 Mar 2014 the formation of an independent Security Service of Crimea has been proclaimed by former SBU officers of Crimea. Modifications: Listed on 2 Apr 2014

SSID: 175-27316 Name: Zherebtsov Yuriy

Justification: Counsellor of the Speaker of the Verkhovna Rada of Crimea, one of the leading organizers of the 16 Mar 2014 "referendum" against Ukraine's territorial integrity. **Modifications:** Listed on 2 Apr 2014

SSID: 175-27320 Name: Tsekov Sergey Pavlovych

DOB: 28 Mar 1953

Justification: Vice Speaker of the Verkhovna Rada; Tsekov initiated together with Sergey Aksyonov the unlawful dismissal of the government of the Autonomous Republic of Crimea (ARC). He drew into this endeavour Vladimir Konstantinov, threatening him with his

dismissal. He publicly recognized that the MPs from Crimea were the initiators of inviting Russian soldiers to take over Verkhovna Rada of Crimea. He was one of the first Crimean Leaders to ask in public for annexation of Crimea to Russia. **Modifications:** Listed on 2 Apr 2014

SSID: 175-27325 Name: Ozerov Viktor Alekseevich

DOB: 5 Jan 1958 POB: Abakan, Khakassia, Russian Federation

Justification: a) Chairman of the Security and Defense Committee of the Federation Council of the Russian Federation. b) On 1 Mar 2014 Ozerov, on behalf of the Security and Defense Committee of the Federation Council, publicly supported in the Federation Council the deployment of Russian forces in Ukraine. **Modifications:** Listed on 2 Apr 2014

SSID: 175-27332 Name: Dzhabarov Vladimir Michailovich

DOB: 29 Sep 1952

Justification: a) First Deputy-Chairman of the International Affairs Committee of the Federation Council of the Russian Federation. **b)** On 1 Mar 2014 Dzhabarov, on behalf of the International Affairs Committee of the Federation Council, publicly supported in the Federation Council the deployment of Russian forces in Ukraine. **Modifications:** Listed on 2 Apr 2014

SSID: 175-27339 Name: Klishas Andrei Aleksandrovich

DOB: 9 Nov 1972 POB: Sverdlovsk, Russian Federation

Justification: a) Chairman of the Committee on Constitutional Law of the Federation Council of the Russian Federation. **b)** On 1 Mar 2014 Klishas publicly supported in the Federation Council the deployment of Russian forces in Ukraine. In public statements Klishas sought to justify a Russian military intervention in Ukraine by claiming that "the Ukrainian President supports the appeal of the Crimean authorities to the President of the Russian Federation on landing an all-encompassing assistance in defense of the citizens of Crimea". **Modifications:** Listed on 2 Apr 2014

SSID: 175-27347 Name: Ryzhkov Nikolai Ivanovich

DOB: 28 Sep 1929 POB: Duleevka, Donetsk Region, Ukraine

Justification: a) Member of the Committee for federal issues, regional politics and the North of the Federation Council of the Russian Federation. b) On 1 Mar 2014 Ryzhkov publicly supported in the Federation Council the deployment of Russian forces in Ukraine. **Modifications:** Listed on 2 Apr 2014

SSID: 175-27355 Name: Bushmin Evgeni Viktorovich

DOB: 4 Oct 1958 **POB:** Lopatino, Sergachiisky Region, Russian Federation **Justification: a)** Deputy Speaker of the Federation Council of the Russian Federation. **b)** On 1 Mar 2014 Bushmin publicly supported in the Federation Council the deployment of Russian forces in Ukraine. **Modifications:** Listed on 2 Apr 2014

SSID: 175-27363 Name: Totoonov Aleksandr Borisovich

DOB: 3 Mar 1957 **POB:** Ordzhonikidze, North Ossetia, Russian Federation **Justification: a)** Member of the Committee on culture, science and information of the Federation Council of the Russian Federation. **b)** On 1 Mar 2014 Totoonov publicly supported in the Federation Council the deployment of Russian forces in Ukraine. **Modifications:** Listed on 2 Apr 2014

SSID: 175-27371 Name: Panteleev Oleg Evgenevich

DOB: 21 Jul 1952 **POB:** Zhitnikovskoe, Kurgan Region, Russian Federation **Justification: a)** First Deputy Chairman of the Committee on Parliamentary Issues. **b)** On 1 Mar 2014 Panteleev publicly supported in the Federation Council the deployment of Russian forces in Ukraine. **Modifications:** Listed on 2 Apr 2014

SSID: 175-27377 Name: Mironov Sergei Mikhailovich

DOB: 14 Feb 1953 **POB:** Pushkin, Leningrad Region, Russian Federation **Justification: a)** Member of the Council of the State Duma; Leader of Fair Russia faction in the Duma of the Russian Federation. **b)** Initiator of the bill allowing Russian Federation to admit in its composition, under the pretext of protection of Russian citizens, territories of a foreign country without a consent of that country or of an international treaty. **Modifications:** Listed on 2 Apr 2014

SSID: 175-27385 Name: Zheleznyak Sergei Vladimirovich

DOB: 30 Jul 1970 POB: St. Petersburg, Russian Federation

Justification: a) Deputy Speaker of the State Duma of the Russian Federation. **b)** Actively supporting use of Russian Armed Forces in Ukraine and annexation of Crimea. He led personally the demonstration in support of the use of Russian Armed Forces in Ukraine. **Modifications:** Listed on 2 Apr 2014

SSID: 175-27393 Name: Slutski Leonid Eduardovich

DOB: 4 Jan 1968 POB: Moscow, Russian Federation

Justification: a) Chairman of the Commonwealth of Independent States (CIS) Committee of the State Duma of the Russian Federation (member of the LDPR). **b)** Actively supporting use of Russian Armed Forces in Ukraine and annexation of Crimea. **Modifications:** Listed on 2 Apr 2014

SSID: 175-27400 Name: Vitko Aleksandr Viktorovich

DOB: 13 Sep 1961 POB: Vitebsk, Belarus

Justification: a) Commander of the Black Sea Fleet, Vice-Admiral. **b)** Responsible for commanding Russian forces that have occupied Ukrainian sovereign territory. **Modifications:** Listed on 2 Apr 2014

SSID: 175-27408 Name: Sidorov Anatoliy Alekseevich

Justification: a) Commander, Russia's Western Military District, units of which are deployed in Crimea. b) Commander of Russia's Western Military District, units of which are deployed in Crimea. He is responsible for part of the Russian military presence in Crimea which is undermining the sovereignty of the Ukraine and assisted the Crimean authorities in preventing public demonstrations against moves towards a referendum and incorporation into Russia. **Modifications:** Listed on 2 Apr 2014

SSID: 175-27413 Name: Galkin Aleksandr

Justification: a) Russia's Southern Military District, forces of which are in Crimea; the Black Sea Fleet comes under Galkin's command; much of the force movement into Crimea has come through the Southern Military District. b) Commander of Russia's Southern Military District ("SMD"). SMD forces are deployed in Crimea. He is responsible for part of the Russian military presence in Crimea which is undermining the sovereignty of the Ukraine and assisted the Crimean authorities in preventing public demonstrations against moves towards a referendum and incorporation into Russia. Additionally the Black Sea Fleet falls within the District's control. **Modifications:** Listed on 2 Apr 2014

SSID: 175-27418 Name: Rogozin Dmitry Olegovich
DOB: 21 Dec 1963 POB: Moscow, Russian Federation
Justification: a) Deputy Prime Minister of the Russian Federation. b) Publicly called for the annexation of Crimea. Modifications: Listed on 2 Apr 2014

SSID: 175-27425 Name: Glazyev Sergey

DOB: 1 Jan 1961 **POB:** Zaporozhye, Ukraine **Justification: a)** Adviser to the President of the Russian Federation. **b)** Publicly called for the annexation of Crimea. **Modifications:** Listed on 2 Apr 2014

SSID: 175-27433 Name: Matviyenko Valentina Ivanova

DOB: 7 Apr 1949 **POB:** Shepetovka, Khmelnitskyi oblast, Ukraine **Justification:** Speaker of the Federation Council. On 1 Mar 2014, publicly supported in the Federation Council the deployment of Russian forces in Ukraine. **Modifications:** Listed on 2

Apr 2014

SSID: 175-27440 Name: Naryshkin Sergei Evgenevich

DOB: 27 Oct 1954 POB: St Petersburg, Russian Federation

Justification: Speaker of the State Duma. Publicly supported the deployment of Russian forces in Ukraine. Publicly supported the Russia-Crimea reunification treaty and the related federal constitutional law. **Modifications:** Listed on 2 Apr 2014

SSID: 175-27447 Name: Kiselyov Dmitry Konstantinovich

DOB: 26 Apr 1954

Justification: a) Appointed by Presidential Decree on 9 Dec 2013 Head of the Russian Federal State news agency "Rossiya Segodnya". b) Central figure of the government propaganda supporting the deployment of Russian forces in Ukraine. **Modifications:** Listed on 2 Apr 2014

SSID: 175-27453 Name: Nosatov Alexander Mihailovich

DOB: 27 Mar 1963 POB: Sevastopol, Ukraine

Justification: a) Deputy-Commander of the Black Sea Fleet, Rear-Admiral. **b)** Responsible for commanding Russian forces that have occupied Ukrainian sovereign territory. **Modifications:** Listed on 2 Apr 2014

SSID: 175-27461 Name: Kulikov Valery Vladimirovich

DOB: 1 Sep 1956 POB: Zaporozhye, Ukraine

Justification: a) Deputy-Commander of the Black Sea Fleet, Rear Admiral. **b)** Responsible for commanding Russian forces that have occupied Ukrainian sovereign territory. **Modifications:** Listed on 2 Apr 2014

SSID: 175-27468 Name: Surkov Vladislav Yurievich

DOB: 21 Sep 1964 POB: Solntsevo, Lipetsk, Russian Federation

Justification: Aide to the President of the Russian Federation. He was an organiser of the process in Crimea by which local Crimean communities were mobilised to stage actions undermining the Ukrainian authorities in Crimea. **Modifications:** Listed on 2 Apr 2014

SSID: 175-27475 Name: Malyshev Mikhail

Justification: Responsible for administering the Crimean referendum. Responsible under the Russian system for signing referendum results. **Other information:** Chair of the Crimea Electoral Commission **Modifications:** Listed on 2 Apr 2014

SSID: 175-27480 Name: Medvedev Valery

Justification: Responsible for administering the Crimean referendum. Responsible under the Russian system for signing referendum results. **Other information:** Chair of Sevastopol Electoral Commission **Modifications:** Listed on 2 Apr 2014

SSID: 175-27485 Name: Turchenyuk Igor

Title: Lt. Gen.

Justification: The de-facto Commander of Russian troops deployed on the ground in Crimea (whom Russia continues to refer to officially as "local self-defence militias"). **Other information:** Commander of the Russian forces in Crimea **Modifications:** Listed on 2 Apr 2014

SSID: 175-27490 Name: Mizulina Elena Borisovna

Justification: Originator and co-sponsor of recent legislative proposals in Russia that would have allowed regions of other countries to join Russia without their central authorities' prior agreement. **Other information:** Deputy in the State Duma **Modifications:** Listed on 2 Apr 2014

SSID: 175-27524 Name: Kozak Dmitry Nikolayevich

DOB: 7 Nov 1958 POB: Kirovohrad, Ukraine

Justification: Deputy Prime Minister. Responsible for overseeing the integration of the annexed Autonomous Republic of Crimea into the Russian Federation. **Modifications:** Listed on 2 May 2014

SSID: 175-27531 Name: Belaventsev Oleg Yevgenyvich

DOB: 15 Sep 1949 POB: Moskau

Justification: Plenipotentiary Representative of the President of the Russian Federation into the so called "Crimean Federal District", Non-permanent member of the Russian Security Council. Responsible for the implementation of the constitutional prerogatives of the Russian Head of State on the territory of the annexed Autonomous Republic of Crimea. **Modifications:** Listed on 2 May 2014

SSID: 175-27538 Name: Savelyev Oleg Genrikhovich

DOB: 27 Oct 1965 POB: Leningrad

Justification: Minister for Crimean Affairs. Responsible for the integration of the annexed Autonomous Republic of Crimea into the Russian Federation. **Modifications:** Listed on 2 May 2014

SSID: 175-27545 Name: Menyailo Sergei Ivanovich

DOB: 22 Aug 1960 **POB:** Alagir, North-Ossetian Autonomous SSR, Russian Federation **Justification:** Acting governor of the Ukrainian annexed city of Sevastopol. **Modifications:** Listed on 2 May 2014

SSID: 175-27552 **Name:** Kovatidi Olga Fedorovna **DOB:** 7 May 1962 **POB:** Simferopol, Ukraine

Justification: Member of the Russian Federation Council from the annexed Autonomous Republic of Crimea. **Modifications:** Listed on 2 May 2014

SSID: 175-27559 Name: Shvetsova Ludmila Ivanovna

DOB: 24 Sep 1949 POB: Alma-Ata, Russian Federation

Justification: Deputy Chairman of State Duma, United Russia. Responsible for initiating legislation to integrate the annexed Autonomous Republic of Crimea into the Russian Federation. **Modifications:** Listed on 2 May 2014

SSID: 175-27566 Name: Neverov Sergei Ivanovich

DOB: 21 Dec 1961 POB: Tashtagol, Russian Federation

Justification: Deputy Chairman of State Duma, United Russia. Responsible for initiating legislation to integrate the annexed Autonomous Republic of Crimea into the Russian Federation. **Modifications:** Listed on 2 May 2014

SSID: 175-27573 Name: Sergun Igor Dmitrievich

DOB: 28 Mar 1957

Justification: Director of GRU (Main Intelligence Directorate), Deputy Chief of the General Staff of the Armed Forces of the Russian Federation, Lieutenant-General. Responsible for the activity of GRU officers in Eastern Ukraine. **Modifications:** Listed on 2 May 2014

SSID: 175-27578 Name: Gerasimov Valery Vasilevich

DOB: 8 Sep 1955 POB: Kazan

Justification: Chief of the General Staff of the Armed Forces of the Russian Federation, First Deputy Minister of Defence of the Russian Federation, General of the Army. Responsible for the massive deployment of Russian troops along the border with Ukraine and lack of de-escalation of the situation. **Modifications:** Listed on 2 May 2014

SSID: 175-27585 Name: Prokopiv German

Justification: Active leader of the "Lugansk Guard". Took part in the seizure of the building of the Lugansk regional office of the Security Service, recorded a video address to President Putin and Russia from the occupied building. Close links with the "Army of the South-East". **Modifications:** Listed on 2 May 2014

SSID: 175-27589 Name: Bolotov Valeriy

Justification: One of the leaders of the separatist group "Army of the South-East" which occupied the building of the Security Service in the Lugansk region. Retired officer. Before seizing the building he and other accomplices possessed arms apparently supplied illegally from Russia and from local criminal groups. **Modifications:** Listed on 2 May 2014

SSID: 175-27593 Name: Purgin Andriy

Justification: Head of the "Donetsk Republic", active participant and organiser of separatist actions, co-ordinator of actions of the "Russian tourists" in Donetsk. Co-founder of a "Civic Initiative of Donbass for the Eurasian Union". **Modifications:** Listed on 2 May 2014

SSID: 175-27597 Name: Pushylin Denys

POB: Makiivka

Justification: One of the leaders of the Donetsk People's Republic. Participated in the seizure and occupation of the regional administration. Active spokesperson for the separatists. **Modifications:** Listed on 2 May 2014

SSID: 175-27603 Name: Gennadevich Tsyplakov Sergey

Justification: One of the leaders of ideologically radical organization People's Militia of Donbas. He took active part in the seizure of a number of state buildings in Donetsk region. **Modifications:** Listed on 2 May 2014

SSID: 175-27607 **Name:** Strelkov Igor **Spelling variant:** Strielkov Ihor (Russian) **Justification:** Identified as staff of Main Intelligence Directorate of the General Staff of the Armed Forces of the Russian Federation (GRU). He was involved in incidents in Sloviansk. He is an assistant on security issues to Sergey Aksionov, self-proclaimed prime-minister of Crimea. **Modifications:** Listed on 2 May 2014

Entities

SSID: 175-27753 Name: PJSC Chernomorneftegaz

Justification: On 17.03.2014 the "Parliament of Crimea" adopted a resolution declaring the appropriation of assets belonging to Chernomorneftegaz enterprise on behalf of the "Republic of Crimea". The enterprise is thus effectively confiscated by the Crimean "authorities". **Modifications:** Listed on 20 May 2014

SSID: 175-27757 Name: Feodosia

Justification: On 17.03.2014 the"'Parliament of Crimea" adopted a resolution declaring the appropriation of assets belonging to Feodosia enterprise on behalf of the "Republic of Crimea". The enterprise is thus effectively confiscated by the Crimean "authorities". **Modifications:** Listed on 20 May 2014