EXPORTS OF CONVENTIONAL ARMS¹

- SHADED COLUMNS AND ROWS REPRESENT VOLUNTARY INFORMATION -

Calendar Year: 2016

Cutoff date²: 31.12.2016

Item

the transfer

Reporting country:

Battle tanks

I.

Switzerland

Auth.

Act.

A. I-VII UN Registry Categories¹² (national definitions shall not cover less than the definitions provided in Annex 1 ¹³)

items⁷

	octual	exports ⁵	(choose or	ne or both)	Final importing	State o		ICIII	*************
		rised or		f exports ⁶				Rem	arks ¹¹
This Annual Repo	rt on exports i	s available	only to States Pa	rties					
				Other (please pro	ovide a brief description	below):	Yes 🗌	No 🗌	
					Transfer of	control:	Yes 🗌	No 🖂	
					Transfer	of title :	Yes 🗌	No 🖂	
			P	hysical transfer o	f items across a national	border :	Yes 🖂	No 🗌	
in this report,	the followin	g definit			s used ³ (check as ap				

Value⁸

5

6

	Category of ar	ms ⁴	Author actual e		Extent of (choose on	e or both)	Final importing	State of origin	Rem	arks ¹¹
	[I-VIII]	ms	Auth.	Act.	Number of items ⁷	Value ⁸	State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1		2	3	4	5	6	7	8	9
II.	Armoured combat	vehicles			2 2 1		Slovakia Germany Spain	Belgium	Piranha Pandur Piranha	Temporary Temporary Temporary
III.	Large-calibre artille	ery systems								
137	a) manned									
IV.	IV. Combat Aircraft b) unmanned									
V.	Attack	a) manned								
V.	helicopters	b) unmanned								
VI.	Warships									
VII.	Missiles &	a) Missiles etc								
V 11.	missile launchers	b) MANPADS								
B. VI	II. Small Arms and	Light Weapons	14, 15							
	Small Arms (aggre	gated) ¹⁶								
1.	Revolvers and self-loading pistols				1 3 18 3 113 225 1 13 2 118 1 50		Argentina Australia Belgium Brazil China Germany Côte d'Ivoire Estonia Finland France India Italy			

	Category of arms ⁴	Author actual e		Extent of (choose on	exports ⁶ exports)	- Final importing	State of origin	Rem	arks ¹¹
	[I-VIII]	Auth.	Act.	Number of items ⁷	Value ⁸	State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
				1 96 1 6 14 2 1 1 5 4 19 4 128 3 19 12 8 4 2 2 1		Kameron Canada Qatar Kuwait Latvia Luxemburg Malawi Mali Malta Macedonia New Zealand Oman Austria Paraguay Poland Portugal Saudi-Arabia Sweden Czech Republic Turkey Croatia USA			
				159 152		UAE UK			
2.	Rifles and carbines			16 4 38 1 555 9 426 58		Australia Belgium China Danmark Germany Italy France Canada Luxembourg			

	Category of arms ⁴	Author actual e		Extent of (choose on	exports ⁶ e or both)	Final importing	State of origin	Rema	arks ¹¹
	[I-VIII]	Auth.	Act.	Number of items ⁷ Value ⁸		State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
				1		Malta			
				2		Macedonia			
				8		New Zealand			
				4		Netherlands			
				12		Austria			
				56		Poland			
				1		Saudi-Arabia			
				4		Slovakia			
				2		South Africa			
				7		Czech Republic			
				5		Hungary			
				1302		USA			
				7		UAE			
				158		UK			
				26		Vietnam			
				31		Belgium			
				27		Germany			
				2		Estonia			
				17		Finland			
				141		France			
				2		Italy			
				10		Jordan			
				6		Canada			
3.	Sub-machine guns	\boxtimes		4		Latvia			
3.	Sub-machine guns			30		Lebanon			
				3		New Zealand			
				3		Netherlands			
				1		Austria			
				1		Paraguay			
				38		Poland			
				1		Sweden			
				2		Serbia			
				1250		Slovakia			

	Category of arms ⁴		rised or exports ⁵	Extent of (choose on	e or both)	Final importing	State of origin	Rema	arks ¹¹
	[I-VIII]	Auth.	Act.	Number of items ⁷	Value ⁸	State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
				2		Slovenia			
				1		Spain			
				4		South Africa			
				44		Czech Republic			
				3		Hungary			
				551		USA			
				9		UK			
				6		Belgium			
				199		Germany			
				1		Finland			
				221		France			
				152		Italy			
				3		Jordan			
				126		Canada			
				2		Kenia			
				2		Kuwait			
				2		Latvia			
				10		Lebanon			
				5		Luxemburg			
4.	Assault rifles	\boxtimes		1		Malta			
.,	11334414 111145			31		Mexico			
				2		New Zealand			
				1		Netherlands			
				1		Austria			
				30		Poland			
				2		Sweden			
				2		Serbia			
				89		Spain			
				5		South Africa			
				3		Korea (Republic)			
				32		Czech Republic			
				384		USA			
				27		UAE			

	Category of arms ⁴	Author actual e		Extent of (choose on	exports ⁶ e or both)	Final importing	State of origin	Rem	arks ¹¹
	[I-VIII]	Auth.	Act.	Number of items ⁷	Value ⁸	State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
				35		UK			
5.	Light machine guns	\boxtimes		2 1 2 1 1		Germany Italy Canada Spain Czech Republic UK			
6.	Others								
I	Light Weapons (aggregated) ¹⁷								
1.	Heavy machine guns	\boxtimes		1 1		Belgium New Zealand			
2.	Hand-held under-barrel and mounted grenade launchers			1 4181 125 3 10 40 101 6 2 14		Germany France Ireland Iceland Canada Kuwait Poland Sweden Serbia Spain South Africa		Less Lethal	
3.	Portable anti-tank guns								
4.	Recoilless rifles								
5.	Portable anti-tank missile launchers and rocket systems								
6.	Mortars of calibres less than 75 mm								

	Category of arms ⁴		rised or exports ⁵	Extent of (choose or	Extent of exports ⁶ (choose one or both)		State of origin	Remarks ¹¹	
	[I-VIII]	Auth.	Act.	Number of items ⁷	Value ⁸	- Final importing State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1		3	4	5	6	7	8	9
7.	Others								
C. Vo	luntary National Categories ¹⁸ (pleas	se define i	n Annex 2	2)					

IMPORTS OF CONVENTIONAL ARMS¹

- SHADED COLUMNS AND ROWS REPRESENT VOLUNTARY INFORMATION -

Calendar Year: 2016

Cutoff date²: 31.12.2016

Reporting country:

I.

Battle tanks

Switzerland

A. I-VII UN Registry Categories¹² (national definitions shall not cover less than the definitions provided in Annex 1) ¹³

	1 2 3 4 5 6 7 8										
_	VIII]	Auth.	Act.	Number of items ⁷	Value ⁸	Exporting State ⁹	(if not export		Description of Item	Comments on transfer	
tegor	Authorised or actual imports ⁵ (choose one or both) Extent of imports ⁶ (choose one or both) Remarks State of origin										
	This Annual Report	on imports	is availab	le only to States Pa	arties						
_											
					1						
					Other (please pr	ovide a brief description	below): Y	es 🗌	No 🗌		
						Transfer of	control: Y	es 🗌	No 🖂		
						Transfer	of title : Y	es 🗌	No 🖂		
				F	Physical transfer of	of items across a national	l border : Y	es 🖂	No 🗌		
	In this report, th	e followin	ng defini	tion of the ter	m imports wa	as used ³ (check as ap	propriate):				

	Category of a	rms ⁴	Author actual in	rised or mports ⁵	Extent of (choose on	imports ⁶ ne or both)		State of origin	Rem	narks ¹¹
	[I-VIII]		Auth.	Act.	Number of items ⁷	Value ⁸	Exporting State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1		2	3	4	5	6	7	8	9
II.	Armoured cor	nbat vehicles								
III.				\boxtimes	1		Austria Spain		81mm Mortar 81mm Mortar	
IV.	Combat aircraft	a) manned								
1 V .	b) unmanned									
V.	Attack	a) manned								
٧.	helicopters	b) unmanned								
VI.	Warships									
VII.	Missiles & missile	a) Missiles etc		\boxtimes	100		USA		Amraam 120 / (C-7)	
V 11.	launchers	b) MANPADS								
B. VI	II. Small Arms and	d Light Weapon	s ^{14, 15}							
	Small Arms (aggr	regated) ¹⁶								
1.	1. Revolvers and self-loading pistols				14319 1 1 2 1065 4687 1 1 31 5 1 50		Austria Bosnia/Herzegow. Belgium Canada Czech Republic Germany Serbia Spain Slovenia France UK Greece Croatia			

	Category of arms ⁴		rised or mports ⁵	Extent of (choose one	imports ⁶ e or both)		State of origin	Rem	arks ¹¹
	[I-VIII]	Auth.	Act.	Number of items ⁷	Value ⁸	Exporting State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
				97 1482		Israel Italy			
						Luxemburg			
				$\begin{bmatrix} 1 \\ 2 \end{bmatrix}$		Netherlands			
				20		New Zealand			
				20		Sierra Leone			
				28		Turkey			
				5004		USA			
				13		Austria			
				160		Germany			
				1		Danmark			
				60		Finland			
				8		France			
2.	Rifles and carbines	\boxtimes		11		UK			
۷.	Killes and carollies			2		Israel			
				418		Italy			
				1		Netherlands			
				1		Poland			
				646		Turkey			
				1056		USA			
				29		Austria			
				137		Czech Republic			
3.	Sub-machine guns			114		Germany			
	ē		_	305		Italy			
				28 33		Poland USA			
				26		Austria			
				246		Bulgaria			
				33		Canada			
4.	Assault rifles	\boxtimes		160		Czech Republic			
۲۰	Tiobautt Hillos			2		France			
				438		Germany			
				1		UK			

	Category of arms ⁴	Author actual in		Extent of (choose on	imports ⁶ e or both)		State of origin	Rem	arks ¹¹
	[I-VIII]	Auth.	Act.	Number of items ⁷	Value ⁸	Exporting State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
				16 378 2 30 418 74 1362		Israel Italy Luxembourg Netherlands New Zealand Poland USA			
5.	Light machine guns	\boxtimes		17 3 3		Austria Luxembourg Poland			
6.	Others								
L	ight Weapons (aggregated) ¹⁷								
1.	Heavy machine guns			20		Belgium		12.7mm MG	Procured by armasuisse for Swiss Armed Forces
2.	Hand-held under-barrel and mounted grenade launchers			1 1		Netherlands South Africa			
3.	Portable anti-tank guns								
4.	Recoilless rifles								
5.	Portable anti-tank missile launchers and rocket systems								
6.	Mortars of calibres less than 75 mm								
7.	Others								
C. Vo	luntary National Categories ¹⁸ (plea	ase define	in Annex	2)					

Category of arms ⁴		rised or mports ⁵	Extent of imports ⁶ (choose one or both)			State of origin	Remarks ¹¹	
[I-VIII]	Auth.	Act.	Number of items ⁷	Value ⁸	Exporting State ⁹	(if not exporter) ¹⁰	Description of Item	Comments on the transfer
1	2	3	4	5	6	7	8	9

EXPLANATORY NOTES

- 1) States Parties that do not have any exports and/or imports to report should file a "nil report" clearly stating that no exports/imports have taken place in any of the categories during the reporting period. Templates for such nil reports are included in Annex 3.
- 2) Date for collected statistics (for instance 30 June or 31 December).
- 3) Based on UN Registry practice. An international arms transfer could mean, in addition to the physical movement of equipment to or from national territory, the transfer of title to- and control over the equipment. Other criteria are also possible. States Parties should here provide a description of the national criteria used to determine, for control purposes, exactly when an arms transfer takes place.
- 4) As outlined in Articles 2 (1) (a)-(h) and 5(3). For more precise definitions of the categories, see Annex 1.
- Article 13(3) allows reporting of either authorised or actual exports / imports. The choice can be made at the national level for a report as a whole or category by category. Please indicate by ticking the appropriate box for each category reported whether the value represents authorisations (Auth.) or actual exports (Act.). It is highly desirable that national choices in this respect, once made, should remain stable over time for reasons of consistency and continuity. A State Party wishing to report both quantity and value may of course do so, but then needs to submit two tables, one for authorised exports / imports and the other for actual exports / imports.
- The size of exports / imports may be indicated <u>either</u> as quantity or as value. The choice can be made at the national level for each category of arms, but, **once made, should remain stable over time for reasons of consistency and continuity**. A State Party wishing to report both quantity and value may of course do so.
- 7) Standard UN Registry reporting variable. Please indicate unit, if not 'pieces'
- 8) Optional alternative. Please indicate unit (for example national currency)
- 9) In line with UN Registry practice
- 10) In line with UN Registry practice. NB: This is a shaded column, voluntary in terms of the obligations of the ATT
- 11) In line with UN Registry practice. In the first "Remarks" column, States Parties may, if they so wish, describe the item transferred by entering the designation, type, model or any other information considered relevant. The second column may be used to explain or clarify the nature of the transfer for instance if it is temporary (e.g. for exhibitions or repairs), or if it is industrial in nature (perhaps intended for integration into a larger system). NB: These are shaded columns, voluntary in terms of the obligations of the ATT

- 12) As outlined in Article 2 (1) (a)-(g), See Annex 1 for the UN Registry's more precise definitions of the categories I-VII, including subcategories.
- 13) See Article 5(3)
- 14) As outlined in Article 2 (1) (h), with sub-categories taken from the UN Registry template for voluntary reporting of Small Arms and Light Weapons. This choice has been made provisionally, pending later agreement between States Parties on the desirability of using this or another UN definition of SALW sub-categories (for instance from the UN Firearms Protocol or the International Tracing Instrument ITI). NB: The SALW sub-categories in this report are shaded, representing voluntary information in terms of the obligations of the ATT
- 15) "national definitions shall not cover less than the descriptions used in relevant United Nations instruments at the time of entry into force of this Treaty" (Article 5(3))
- 16) In line with UN Registry practice, States Parties may choose between reporting small arms by sub-type or as an aggregate.
- 17) In line with UN Registry practice, States Parties may choose between reporting light weapons by sub-type or as an aggregate.
- 18) Article 5(3) encourages States Parties to apply the provisions of the Treaty to the broadest range of conventional weapons. Any such additional categories are voluntary and categories used may vary between States Parties. If provided at all, extra categories should be more precisely defined in Annex 2.

ANNEX 1

UN Registry Definitions of Categories I-VII¹

I. Battle tanks

Tracked or wheeled self-propelled armoured fighting vehicles with high cross-country mobility and a high-level of self-protection, weighing at least 16.5 metric tons unladen weight, with a high muzzle velocity direct fire main gun of at least 75 millimetres calibre.

II. Armoured combat vehicles

Tracked, semi-tracked or wheeled self-propelled vehicles, with armoured protection and cross-country capability, either: (a) designed and equipped to transport a squad of four or more infantrymen, or (b) armed with an integral or organic weapon of at least 12.5 millimetres calibre or a missile launcher.

III. Large-calibre artillery systems

Guns, howitzers, artillery pieces, combining the characteristics of a gun or a howitzer, mortars or multiple-launch rocket systems, capable of engaging surface targets by delivering primarily indirect fire, with a calibre of 75 millimetres and above.

IV. Combat aircraft

- Manned fixed-wing or variable-geometry wing aircraft, designed, equipped or modified to engage targets by employing guided missiles, unguided rockets, bombs, guns, cannons or other weapons of destruction, including versions of these aircraft which perform specialized electronic warfare, suppression of air defence or reconnaissance missions;
- b) Unmanned fixed-wing or variable-geometry wing aircraft, designed, equipped or modified to engage targets by employing guided missiles, unguided rockets, bombs, guns, cannons or other weapons of destruction.

The term "combat aircraft" does not include primary trainer aircraft, unless designed, equipped or modified as described above.

V. Attack helicopters

- a) Manned rotary-wing aircraft, designed, equipped or modified to engage targets by employing guided or unguided anti-armour, air-to-surface, air-to-subsurface, or air-toair weapons and equipped with an integrated fire control and aiming system for these weapons, including versions of these aircraft which perform specialized reconnaissance or electronic warfare missions;
- b) Unmanned rotary-wing aircraft, designed, equipped or modified to engage targets by employing guided or unguided anti-armour, air-to-surface, air-to-subsurface, or air-to-air weapons and equipped with an integrated fire control and aiming system for these weapons.

¹ Excerpted from the 2014 UN Registry reporting template

VI. Warships

Vessels or submarines armed and equipped for military use with a standard displacement of 500 metric tons or above, and those with a standard displacement of less than 500 metric tons, equipped for launching missiles with a range of at least 25 kilometres or torpedoes with similar range.

VII. Missiles and missile launchers²

- a) Guided or unguided rockets, ballistic or cruise missiles capable of delivering a warhead or weapon of destruction to a range of at least 25 kilometres, and means designed or modified specifically for launching such missiles or rockets, if not covered by categories I through VI. For the purpose of the Register, this sub-category includes remotely piloted vehicles with the characteristics for missiles as defined above but does not include ground-to-air missiles.
- b) Man-Portable Air-Defence Systems (MANPADS)³.

² Multiple-launch rocket systems are covered by the definition of category III.

³ MANPADS should be reported if the MANPAD system is supplied as a complete unit, i.e. the missile and launcher/Grip Stock form an integral unit. In addition, individual launching mechanisms or grip-stocks should also be reported. Individual missiles, not supplied with a launching mechanism or grip stock need not be reported.

ANNEX 2

Reporting	Calendar	
country:	Year:	

Specific (diverging or more detailed) national definitions of categories I-VIII (or simple reference to initial report, if this information was provided there)

No	Description
I.	UNROCA
II.	UNROCA
III.	UNROCA
IV.	UNROCA
V.	UNROCA
VI.	UNROCA
VII.	UNROCA
VIII.	Small Arms and Light Weapons do not include arms for recreational, cultural, historical and sporting purposes (see Initial Report for details). In contrast to Switzerland's report to UNROCA, this report includes exports to private entities.

Definitions of voluntary national categories - Section C of table(s)

(or simple reference to initial report, if this information was provided there)

No	Description

ANNEX 3 A

NIL REPORT Exports of Conventional Arms¹

Report countr		Calendar Year :	
The Gov	ernment of	,	
	rence to Article 13 (3) of the Arms Trade Treaty, he ts from territory under our jurisdiction. This report	•	-
	no actual exports of conventional arms listed in Ar Trade Treaty have taken place from territory unde reporting period indicated above.	` '	
	no export authorizations have been issued for conv Article 2 (1) of the Arms Trade Treaty during the above.		
This nil	eport on exports is available only to States Parties	_	

ANNEX 3 B

NIL REPORT Imports of Conventional Arms¹

Reporti		Calendar		
country	y:	Year:		
The Gove	ernment of		,	
	rence to Article 13 (3) of the Arms Trade Treaty, here	•		-
for impor	ts from territory under our jurisdiction. This report se	erves to conf	irm tha	ıt
Г				
	no actual imports of conventional arms listed in Arti	, ,		
	Trade Treaty have taken place to territory under our	jurisdiction	during	the
	reporting period indicated above.			
	no import authorizations have been issued for conve			
Ш	Article 2 (1) of the Arms Trade Treaty during the rej	porting perio	od indic	cated
	above.			
This nil re	eport on imports is available only to States Parties			П