

For Official Use**English - Or. English****9 June 2023****DIRECTORATE FOR FINANCIAL AND ENTERPRISE AFFAIRS
INVESTMENT COMMITTEE****Meeting of the Network of National Contact Points for Responsible Business
Conduct****NATIONAL CONTACT POINT 2022 REPORT TO THE OECD: SWITZERLAND**

This document is available in PDF format only.

Nicolas Hachez, Manager, National Contact Point Coordination, OECD Centre for Responsible Business Conduct (nicolas.hachez@oecd.org)

JT03521438

NCP Annual Reporting Questionnaire 2022

Survey response 1

A. Contact information

Please indicate your country:
Switzerland
Please provide the contact information of the person filling in the questionnaire:
First name:
Alex
Last name
Kunze
Job title:
Senior CSR Advisor, Mediator
Email:
alex.kunze@seco.admin.ch
Telephone number (with country code): e.g.: +44 9876 123 456
+41584653063

B. Institutional arrangements - (a) Structure, location and composition of the NCP

1. What is the structure of the NCP?
Multipartite
1. What is the structure of the NCP? [Other]
1a. Did the structure of the NCP change in 2022? If yes, please provide details in the comment box at the end of this section.
No

2. Who are the members of the NCP? Please describe the functions of the members of the NCP and the ministry/agency or stakeholder organisation they represent. No names are required.

NCP Secretariat: 4 persons

Advisory Board (AB): The AB has 14 members including the Director of the State Secretariat of Economic Affairs (co chair) and 3 further members of the Federal Administration, 2 representatives from employers' federations, trade unions, business associations, non governmental organisations and academia. The members are appointed by the Federal Council of Switzerland. The AB advises the NCP on its strategic orientation (e.g. dealing with parallel proceedings) and specific questions such as the role of the NCP regarding national development on due diligence legislation. The AB also promotes the dialogue between stakeholder groups and contributes to the effective implementation of the OECD Guidelines. The advisory body is informed about ongoing specific instances and can ask procedural questions. In case of a decision by the ad working group to reject a specific instance, the advisory body is consulted before the final decision. The AB disposes summary minutes of each meeting.

Ad hoc working groups: Whenever a specific instance is raised with the NCP, an ad hoc working group with representatives of the Federal Administration is formed (e.g. Federal Office of Environment, Federal Department for Foreign Affairs etc.). The members are selected for their expertise based on the issues raised in the submission. The ad hoc working groups meets generally 1-2 times during the phase of the initial assessment, 2-3 times during the mediation phase and once before closing a specific instance. The ad hoc working groups take decisions with respect to all important procedural steps of the specific instance, such as the decision during the Initial Assessment whether to accept a specific instance for further examination and the decision on the wording of the Final Statement.

3. If the NCP is not a single-agency NCP, does it have a secretariat?

Yes

3. (Continued) If the NCP is not a single-agency and has a Secretariat, in which ministry or agency is located the Secretariat?

Ministry with an economic focus (economy, finance, treasury, trade, etc.)

3. (Continued) If the NCP is not a single-agency and has a Secretariat, in which ministry or agency is located the Secretariat? [Other]

3. (Continued) If the NCP is not a single-agency and has a Secretariat, in which department of the ministry or agency is located the Secretariat?

State Secretariat for Economic Affairs (SECO)

3. (Continued) If the NCP is not a single-agency and has a Secretariat, did the location of the Secretariat change in 2022?

No

4. Has the NCP been established through a legislative, regulatory or administrative instrument (e.g. a statute, a decree, a ministerial resolution)?

Yes

4. (Continued) If yes, please provide the name and date of this instrument, and a link if available

Ordinance on the Organisation of the National Contact Point for the OECD Guidelines for Multinational Enterprises and on its Advisory Board of 1 May 2013 (SR 946.15),
see: <https://www.admin.ch/opc/fr/classified-compilation/20130820/index.html>

Additional comments on the section Structure, location and composition of the NCP:

see answer to question 2

B. Institutional arrangements - (b) Advisory body

5. Does the NCP have one or several advisory bodies?

Yes, one advisory body

5. (Continued) If the NCP has several advisory bodies, please specify.

5. (Continued) If yes, did the advisory body(ies) contain representatives from the following stakeholder groups? [Business stakeholders]

Yes

5. (Continued) If yes, did the advisory body(ies) contain representatives from the following stakeholder groups? [Trade union stakeholders]
Yes

5. (Continued) If yes, did the advisory body(ies) contain representatives from the following stakeholder groups? [NGO stakeholders]
Yes

5. (Continued) If yes, did the advisory body(ies) contain representatives from the following stakeholder groups? [Other]
academia, public administration

5. (Continued) If yes, please describe the advisory body(ies)' functions (e.g. advising on promotion, on specific instance handling in general, on individual cases, providing oversight, etc.)
The AB advises the NCP on its strategic orientation (e.g. dealing with parallel proceedings) and specific questions such as the role of the NCP regarding national development on due diligence legislation. The AB also promotes the dialogue between stakeholder groups and contributes to the effective implementation of the OECD Guidelines. The advisory body is informed about ongoing specific instances and can ask procedural questions. In case of a decision by the ad working group to reject a specific instance, the advisory body is consulted before the final decision. The AB disposes summary minutes of each meeting.

5. (Continued) If yes, please list the names of organisations that are represented on the advisory body(ies) and the type of organisation. (e.g. government, business, business organisation, NGO, trade union, academia, consumer organisation, etc.)
Public administration: State Secretariat for Economic Affairs (SECO); Federal Department of Foreign Affairs (FDFA)
Business: economiesuisse; ; SwissHoldings; Union patronale suisse, Union suisse des arts et métiers usam
NGO: Alliance Sud; Society for threatened peoples, Switzerland
Trade unions: Union syndicale suisse/ Trade Union Unia; Travail Suisse
Academia: University of Zurich, University of Lucerne
see also:
https://www.admin.ch/ch/f/cf/ko/gremium_10614.html

5. (Continued) If yes, please indicate how often the advisory body(ies) meets
Twice a year

5. (Continued) If yes, please indicate how often the advisory body(ies) meets [Other]

6. If an advisory body provides oversight, please describe the oversight procedure:
Some activities of the Advisory Board (AB) have characteristics of the functions of an oversight body. E.g. if the ad hoc working group decides to reject a specific instance, the advisory body is consulted on the decision. The AB is informed regarding ongoing specific instances and can ask procedural questions. Furthermore the AB was closely involved in the update of the NCP's written procedural guidance and requested specific amendments. The AB is also giving advice to the secretariat regarding topics like possible sanctions or making determinations of non compliance of the OECD Guidelines. Therefore, to a certain extent the AB is considered to be both, an advisory and an oversight body.

Additional comments on the section Advisory body(ies):
In the reporting period, the advisory body was informed regarding critics of a submitting party in an ongoing NCP proceedings.

B. Institutional arrangements - (c) Human and financial resources

7. Does the NCP have dedicated full-time staff members?
Yes

7. (Continued) Please indicate the number of full-time staff members:
1

8. Does the NCP have dedicated part-time staff members?
Yes

8. (Continued) Please indicate the number of part-time staff members:
3

8. (Continued) Please indicate the percentage of time spent on NCP matters for each part-time staff member:
30%/20%/10%
9.1 Have any full-time staff members joined the NCP during the year?
No
9.2 Have any part-time staff members joined the NCP during the year?
No
10.1 Have any full-time staff members left the NCP during the year?
No
10.2 Have any part-time staff members left the NCP during the year?
No
11. Did the NCP have a dedicated budget this year?
Yes : 50 000 CHF (for mediation)
12. During the year, did the human and financial resources available to the NCP allow it to: Please select "N/A" if the NCP was not invited/chose not to attend such events [Handle specific instances in an efficient and timely manner]
Yes
12. During the year, did the human and financial resources available to the NCP allow it to: Please select "N/A" if the NCP was not invited/chose not to attend such events [Organise promotional events]
Yes
12. During the year, did the human and financial resources available to the NCP allow it to: Please select "N/A" if the NCP was not invited/chose not to attend such events [Attend NCP meetings at the OECD]
Yes
12. During the year, did the human and financial resources available to the NCP allow it to: Please select "N/A" if the NCP was not invited/chose not to attend such events [Attend events organised by other NCPs]
Yes
12. During the year, did the human and financial resources available to the NCP allow it to: Please select "N/A" if the NCP was not invited/chose not to attend such events [Attend events organised by stakeholders]
Yes
12. During the year, did the human and financial resources available to the NCP allow it to: Please select "N/A" if the NCP was not invited/chose not to attend such events [Cover professional mediator fees or in-house mediator fees]
Yes
12. During the year, did the human and financial resources available to the NCP allow it to: Please select "N/A" if the NCP was not invited/chose not to attend such events [Conduct fact-finding research into specific instances]
Yes
12. (Continued) If you have answered "no" to any of the above, please specify:
Additional comments on the section Human and financial resources:

B. Institutional arrangements - (d) Reporting

13. Does the NCP report to the executive on its activities?
Yes
13. (Continued) If yes, how often?
Once a year
14. Does the NCP report to the legislative body on its activities?
Yes

14. (Continued) If yes, how often?
Once a year
15. Please indicate any other relevant transparency requirement(s) (e.g. access to information or disclosure laws) applicable to the NCP in your country:
The NCP provides an overview on its activities to the Parliament in a specific chapter of the annual Foreign Economic Report. The full report is available in French, German and Italian, the executive summary in English. see: https://www.seco.admin.ch/seco/en/home/Publikationen_Dienstleistungen/Publikationen_und_Formulare/Aussenwirtschafts/Berichte_zur_Aussenwirtschaftspolitik.html The NCP reports on an annual basis to the OECD Investment Committee in accordance with the Procedural Guidance. These reports are also published on the NCP website which includes annual reports from the year 2007 onwards. Additionally, activities of the NCP included in the updated CSR-Action Plan 2020–2023 of the Federal Council as well the National Action Plan on Business and Human Rights will be reported to the Federal Council in 2023.
Additional comments on the section Reporting:
The activities of the NCP as well the NCP advisory body of 2022 will be published for the first time in one (merged) report in the beginning of 2023.

C. Information and promotion - (a) Website

16. Does the NCP have a website?
Yes
16. (Continued) If yes, please provide the link:
www.seco.admin.ch/ncp
17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [The text of the Guidelines]
Yes
17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [A description of the Guidelines]
Yes
17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [The OECD Due Diligence Guidance Documents]
Yes
17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [Explanatory text about due diligence]
Yes
17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [Information on the NCP and its mandate]
Yes
17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [The NCP Annual Report submitted to the OECD]
Yes
17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [The NCP's Report to the executive and/or legislative (if applicable)]
Yes
17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [The NCP's peer review report (if applicable)]
Yes
17.2 Are the following items available on the NCP website? Information about specific instances [Information on how to submit a specific instance]
Yes

17.2 Are the following items available on the NCP website? Information about specific instances [An online form to submit a specific instance]
No
17.2 Are the following items available on the NCP website? Information about specific instances [The NCP's rules of procedure]
Yes
17.2 Are the following items available on the NCP website? Information about specific instances [All final statements since 2011]
Yes
17.3 Are the following items available on the NCP website? Information on promotional activities: [The NCP's promotional plan]
Yes
17.3 Are the following items available on the NCP website? Information on promotional activities: [Information on upcoming events promoting the Guidelines]
Yes
17.3 Are the following items available on the NCP website? Information on promotional activities: [Information on past events promoting the Guidelines]
Yes
17.4 Are the following items available on the NCP website? Contact information: [Information on how to make an enquiry to the NCP]
Yes
17.4 Are the following items available on the NCP website? Contact information: [A phone number to reach the NCP directly]
Yes
17.4 Are the following items available on the NCP website? Contact information: [An email address to reach the NCP directly]
Yes
Additional comments on the section NCP website:
Regarding 17.2.: Instead of an online form to submit cases, there is a checklist with items to include in a submission. In our view this allows for more flexibility than a rigid online form.
Measures to promote the OECD Guidelines are part of the updated CSR-action plan 2020-2023 adopted by the Federal Council on 15. Januar 2020: see www.csr.admin.ch
Promotional events are reported on www.csr.admin.ch There is no seperate promotional plan of the NCP.
Information on the NCP activities including promotional events are reported on its social media account on linkedIn: https://www.linkedin.com/company/37545525/admin/

C. Information and promotion - (b) Promotional activities*

18. Does the NCP have a promotional plan for the coming year(s)?
No
19. Did the NCP organise or co-organise events to promote the Guidelines and/or the NCP during the year? If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the end of the questionnaire.
Yes
20. Did the NCP make a presentation to promote the Guidelines and/or the NCP in events organised by others? If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the end of the questionnaire.
Yes
21. Did the NCP make use of social media to communicate on NCP promotional activities during the year?
Yes
21. (Continued) If yes, please provide additional details:
Information on the NCP activities including promotional events are reported on its social media account on linkedIn: https://www.linkedin.com/company/37545525/admin/

22. Did the NCP hold a stakeholder meeting during the year?
Yes

23. Did the NCP promote the Guidelines among the business community during the year?
Yes

24. Did the NCP carry out any training on the Guidelines aimed at businesses during the year?
Yes

25. Did the NCP promote the Guidelines among NGOs during the year?
Yes

26. Did the NCP promote the Guidelines among trade unions during the year?
Yes

27. Did the NCP promote the Guidelines among government agencies during the year?
Yes

28. Did the NCP promote the Guidelines among embassies abroad during the year?
Yes

29. Did the NCP promote the Guidelines to investment promotion agencies during the year?
No

30. Did the NCP focus on any of the following during promotional activities during the year: [OECD Due Diligence Guidance for Responsible Business Conduct]
Yes

30. Did the NCP focus on any of the following during promotional activities during the year: [OECD Due Diligence Guidance for Responsible Supply Chains in the Garment and Footwear Sector]
No

30. Did the NCP focus on any of the following during promotional activities during the year: [Due Diligence for Responsible Corporate Lending and Securities Underwriting and/or Responsible Business Conduct for Institutional Investors]
No

30. Did the NCP focus on any of the following during promotional activities during the year: [OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas]
Yes

30. Did the NCP focus on any of the following during promotional activities during the year: [OECD Due Diligence Guidance for Meaningful Stakeholder Engagement in the Extractive Sector]
No

30. Did the NCP focus on any of the following during promotional activities during the year: [OECD-FAO Guidance for Responsible Agricultural Supply Chains]
No

Additional comments on the section Promotional activities:
In terms of effective public relations, the NCP uses a mix of instruments. These include social media, presentations and workshops, publications and participation in dialogue events.
Regarding question 18: Measures to promote the OECD Guidelines are part of the updated CSR-action plan 2020-2023 adopted by the Federal Council on 15. Januar 2020: see www.csr.admin.ch . Promotional events are reported on www.csr.admin.ch. There is no separate promotional plan of the NCP.
The NCP is working closely with the promotional activities of the National Action Plan on Business and Human Rights as well of the Global Compact Network Switzerland. In events of both platforms, the OECD instruments are regularly presented. Joint brochures with both platforms were elaborated and are distributed. A member of the NCP secretariat is member of the Programm Committee of the Global Compact Network.

D. Specific Instances - (a) NCP rules of procedure for handling specific instances

31. Does the NCP have rules of procedure describing the handling of specific instances?
Yes
32. Are the rules of procedure available online?
Yes
32. (Continued) If yes, please provide a link:
www.seco.admin.ch/ncp Organisation an procedures
32. (Continued) Please upload a copy of the rules of procedure via the "Upload files" button below (only ".doc", ".docx" or ".pdf" files) or upload a copy at the end of the questionnaire in the section Additional materials.
filecount - 32. (Continued) Please upload a copy of the rules of procedure via the "Upload files" button below (only ".doc", ".docx" or ".pdf" files) or upload a copy at the end of the questionnaire in the section Additional materials.
33. Were the NCP's rules of procedure modified this year?
No
Additional comments on the section Rules of procedure:

D. Specific Instances - (b) Specific instance practicalities

34. Does the NCP confirm receipt of a specific instance submission? Please select N/A if the NCP has never received a specific instance
Yes
35. Does the NCP request feedback from the parties on the procedure following the conclusion of a specific instance? Please select N/A if the NCP has never received a specific instance
Yes
36. Has the NCP staff undergone training in dispute resolution or problem solving (e.g. mediation)?
No
37. Did the NCP engage professional mediators during the year? Please select N/A if the NCP did not receive a specific instance during the year
Yes
38. Did the NCP staff or members conduct mediation this year? Please select N/A if the NCP has never received a specific instance
Yes
Additional comments on the section Specific instance practicalities:
Each mediation is conducted by a mediator (external or internal) and a staff member of the NCP secretariat with a clear role division. The mediator is responsible to conduct the mediation. The NCP secretariat staff member supports the mediator with expertise of the OECD Guidelines, procedural issues of the NCP as well as logistical issues.

D. Specific Instances - (c) Reporting specific instances

39. Did the NCP receive new specific instance submissions during the year? If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the end of the questionnaire.
Yes

40. Did the NCP close specific instances during the year? If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the end of the questionnaire.

Yes

41. Of the specific instances that were already in progress at the start of the year, are there any that are still ongoing at the end of the year? If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the end of the questionnaire. Select N/A if no specific instances were in progress at the start of the year.

No

42. Did the NCP follow up on a case during the year? If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the end of the questionnaire. Select N/A if no specific instances were concluded before the start of the year.

Yes

43. Is the OECD database of specific instances accurate and up to date with regard to cases handled by the NCP? Select N/A if the NCP has never received a specific instance.

Yes

43. (Continued) Please provide details (missing cases, out of date entries, broken links, etc.) in the box below:

Additional comments on the section Reporting specific instances:

Swiss NCP publishes Initial Assessments, Final Statements and on a case by case basis follow up statements. Specific instances are reported to the OECD data base after receipt (before publishing the report of the Initial Assessment).

All the information regarding the specific instance was sent to the OECD secretariat. At the time of submission of the annual report, some information was not uploaded in the OECD data base yet.

E. Peer learning and peer reviews

44. Did the NCP take part in the following activities with other NCPs during the year: [Host a peer learning activity]

Yes

44. Did the NCP take part in the following activities with other NCPs during the year: [Participate in peer learning activities hosted by other NCPs]

Yes

44. Did the NCP take part in the following activities with other NCPs during the year: [Co-operate with other NCPs in handling specific instances]

Yes

44. Did the NCP take part in the following activities with other NCPs during the year: [Provide mentoring/capacity building to another NCP]

Yes

45. Is the NCP interested in hosting an NCP learning/experience-sharing event in 2022?

No

46. Which topic would the NCP consider to be a priority to cover in a peer learning event?

Dealing with undo pressure on NCP staff

47. Is the NCP interested in participating in developing tools for use by NCPs?

Yes

48. Is the NCP interested in acting as a peer reviewer in the future?

Yes

If yes, please specify the semester and the year:

2023 (2nd semester)

Additional comments on the section Peer-learning and peer reviews:

Peer-learning and peer reviews:

Peer Reviews: the Swiss NCP participated in the peer reviews of Belgium, USA, UK, Korea, Luxembourg and has undergone its own Peer Review.

The Swiss NCP is able to support other NCP in several languages (German, French, English, Spanish)

Participating in Peer Reviews depends on availability and well-timed planning.

F. Policy coherence*

49. Have the Guidelines been referred to in national legislation/regulations/policies adopted during the year? (e.g. on responsible business conduct; non-financial reporting, export credits regulation, public procurement)

Yes

49. (Continued) Please provide a short summary and a link to the legislation. If a link is not available, please upload a copy of the legislation at the end of the questionnaire, on the "Additional materials" page.

The Federal Council has adopted the Ordinance implementing the new obligations set out in the Code of Obligations which entered into force on 1 January 2022 and will be applied for the first time to the business year 2023:

1. an obligation to report incl. on due diligence about environmental and labour issues, human rights and the fight against corruption for large public companies and financial institutions in a way similar to EU Directive 2014/95/EU. If the report is based on national, European or international standards such as the OECD Guidelines for Multinational Enterprises, these must be mentioned in the report.
2. an obligation to exercise due diligence and to report for companies that import minerals or metals consisting of tin, tantalum, tungsten or gold from conflict and high risk areas into Switzerland or process them here, similar to EU Regulation (EU)2017/821. The provisions are based on the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas. A due diligence obligation shall also apply to companies that offer products or services for which there are reasonable grounds to suspect that they were manufactured or provided using child labour. The OECD Due Diligence for Responsible Business Conduct is mentioned as a tool which companies should use to exercise their due diligence.

see: <https://www.fedlex.admin.ch/eli/oc/2021/846/fr>

50. Did your country adopt a National Action Plan (NAP) this year?

No

50. (Continued) Please indicate which type of National Action Plan: [NAP on Business and Human Rights]

N/A

50. (Continued) Please indicate which type of National Action Plan: [NAP on Corporate Social Responsibility]

N/A

50. (Continued) Please indicate which type of National Action Plan: [NAP on Responsible Business Conduct]

N/A

50. (Continued) Please provide a link to the NAP on Business and Human Rights: If a link is not available, please upload a copy of the legislation at the end of the questionnaire, on the "Additional materials" page.

50. (Continued) Did the NAP on Business and Human Rights make reference to: [The Guidelines?]

50. (Continued) Did the NAP on Business and Human Rights make reference to: [The NCP?]

50. (Continued) Please provide a link to the NAP on Corporate Social Responsibility: If a link is not available, please upload a copy of the legislation at the end of the questionnaire, on the "Additional materials" page.

50. (Continued) Did the NAP on Corporate Social Responsibility make reference to: [The Guidelines?]

50. (Continued) Did the NAP on Corporate Social Responsibility make reference to: [The NCP?]

50. (Continued) Please provide a link to the NAP on Responsible Business Conduct: If a link is not available, please upload a copy of the legislation at the end of the questionnaire, on the "Additional materials" page.

50. (Continued) Did the NAP on Responsible Business Conduct make reference to: [The Guidelines?]

50. (Continued) Did the NAP on Responsible Business Conduct make reference to: [The NCP?]

51. Was a NAP in development this year?

No

51. (Continued) If yes, please indicate which NAP: [NAP on Business and Human Rights]

N/A

51. (Continued) If yes, please indicate which NAP: [NAP on Corporate Social Responsibility]

N/A

51. (Continued) If yes, please indicate which NAP: [NAP on Responsible Business Conduct]

N/A

52. Did the NCP inform officials responsible for the following areas of its relevant statements and reports? [Officials responsible for trade missions]

Yes

52. Did the NCP inform officials responsible for the following areas of its relevant statements and reports? [Officials responsible for foreign trade and investment incentives]

Yes

52. Did the NCP inform officials responsible for the following areas of its relevant statements and reports? [Officials responsible for public procurement]

Yes

53. Does your national legislation or policy on public procurement refer to the Guidelines and/or OECD due diligence instruments and/or to the NCP process?

No

53. (Continued) Please provide a link to the legislation. If a link is not available, please upload a copy of the legislation at the end of the questionnaire, on the "Additional materials" page.

54. To what extent has the NCP engaged or exchanged with public procurement officials/practitioners? [As part of a public procurement process]

No

54. To what extent has the NCP engaged or exchanged with public procurement officials/practitioners? [As part of a public procurement process][Comment]

54. To what extent has the NCP engaged or exchanged with public procurement officials/practitioners? [As part of dedicated events or training]

No

54. To what extent has the NCP engaged or exchanged with public procurement officials/practitioners? [As part of dedicated events or training][Comment]

54. To what extent has the NCP engaged or exchanged with public procurement officials/practitioners? [Other]

No

54. To what extent has the NCP engaged or exchanged with public procurement officials/practitioners? [Other][Comment]

54. To what extent has the NCP engaged or exchanged with public procurement officials/practitioners? [N/A: the NCP has not engaged or exchanged with public procurement officials/practitioners]

Yes

54. To what extent has the NCP engaged or exchanged with public procurement officials/practitioners? [N/A: the NCP has not engaged or exchanged with public procurement officials/practitioners][Comment]

55. Please identify any specific initiatives/good practices involving public procurement and RBC in your country:

The Sustainable Procurement Knowledge Platform ("Plateforme de connaissances sur les achats publics responsables PAP" <https://www.woeb.swiss/>)) assists government procurement agencies in implementing the revised procurement law by providing buyers at all federal levels with information and tools for sustainable procurement.

56. Please include any other examples of policy coherence activities:

A member of the NCP secretariat coordinates the RBC working group within the public administration. This group involves all relevant units (around 15-20 units) dealing with RBC and meets 3-4 times a year. At every meeting a topic related with the OECD Guidelines is on the agenda (e.g. in 2022 the update of the OECD GL, due diligence instruments etc.). Other topics discussed in this group were:

National Legislation on sustainability reporting and due diligence (see answer to question 49), new TCFD obligations, SDG etc.

Additional comments on the section Policy Coherence:

G. Challenges

57. Has the NCP faced any particular challenge(s) it would like to highlight this year?

In two NCP proceedings concluded in 2022 no agreement could be found, which is an unusual situation for the Swiss NCP: In the NCP proceeding regarding Syngenta a particular challenge was an ongoing parallel proceeding at the civil court of Basel/Switzerland on the same topic and concerning the same enterprise. In the view of the NCP, the extensive mediation has led partly to a better mutual understanding between the Parties. However, there were differing views on the impact of ongoing judicial proceedings on the mediation process. For this main reason no Joint Outcome of the Dialogue was achieved and no further actions agreed with respect to topics where a better mutual understanding was reached.

In the proceeding regarding Glencore the submitting party withdraw from the negotiations of the Terms of Reference (TORs) for the mediation, as in its view their demands were not met.

In both cases, the NCP has made recommendations.

Additional comments on the section Challenges:

NATIONAL CONTACT POINT REPORTING QUESTIONNAIRE 2022

Annex

This document is to provide detailed information on **NCP promotional activities (Part 1 below)** and on **specific instances (Part 2 below)**.

INSTRUCTIONS

This document should be completed and saved locally on a computer and uploaded from the same computer at the end of the online questionnaire in the section “**Annex: NCP Promotional activities & Specific Instances**” (after question 57).

Please note that only **Word files** (.doc or .docx) can be uploaded.

Guidance and instructions on how to complete the questionnaire ([here](#)) and the annex ([here](#)) are accessible on the NCP O.N.E Community Space.

HELP & ASSISTANCE

Please contact emily.halstead@oecd.org for any help or assistance to complete the questionnaire.

STRUCTURE

Part 1: NCP PROMOTIONAL ACTIVITIES

Part 2: SPECIFIC INSTANCES

Please enter country name of NCP: Switzerland

PART 1: NCP PROMOTIONAL ACTIVITIES

Please provide information on the events organised or co-organised by the NCP (Table 1), and events in which the NCP has participated to promote the Guidelines (Table 2).
 Please add the indicated information in the table below. Any links to recordings or announcements from events may be included in the comments box. They may also be uploaded as documents with the Annex.
 Please add additional lines if needed. (Right click and select insert rows. The selection fields can be copied and pasted into the new rows)

TABLE 1

NCP-organised and co-organised events to promote the Guidelines and/or the NCP

Title	Date <i>(dd/mm/yyyy)</i>	Location	Type of event	Size of audience	Organised or co-organised?	Targeted audience <i>Select all that apply.</i>	Theme <i>e.g. the OECD Guidelines, the NCP activities on sector due diligence guidance documents, etc.</i>
OECD-Guidelines and NCP for future Swiss Diplomats	18-May-2022	NCP / SECO	Conference	10-50	Co-organised	<input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input checked="" type="checkbox"/> Government <input type="checkbox"/> Other, please specify	CSR-policy Switzerland, OECD-Guidelines and Due Diligence Instruments, NCP
Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	<input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	<input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	<input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	<input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions	Click here to enter text.

						<input checked="" type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify	
Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	<input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	<input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify	Click here to enter text.

Additional comments:	
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Total number of events:	1
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TABLE 2

Presentations by the NCP to promote the Guidelines and/or the NCP in events organised by others

Title	Date (dd/mm/yyyy)	Location	Type of event	Size of audience	Targeted audience <i>Select all that apply.</i>	Organiser(s)	Theme of the intervention
Sustainable Development	30-Mar-2022	Virtual	Webinar	50-100	<input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input checked="" type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify	University of Graubünden (FH)	OECD Guidelines, OECD Due Diligence Instruments, NCP
CSR policy of Switzerland, OECD-Guidelines, NCP	09-May-2022	University of Berne	Conference	50-100	<input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input checked="" type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify	University of Berne	CSR-policy Switzerland, OECD-Guidelines and Due Diligence Instruments, NCP
Sustainability and soft compliance	03-Nov-2022	Neuchâtel / Hotel Beau Rivage	Conference	50-100	<input checked="" type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify	Fondation de la Haute Horlogerie	OECD-Guidelines and Due Diligence Instruments, NCP
ESG Sustainability: How to ensure a positive impact on communities and the society	17-Nov-2022	Virtual	Conference	50-100	<input checked="" type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify	Swiss-Mex Chamber of Commerce, Mexico	CSR-policy Switzerland, OECD-Guidelines and Due Diligence Instruments, NCP
Workshop on OECD Due diligence concept regarding cause-contribute-directly linked	01-Dec-2022	Virtual	Webinar	10-50	<input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input checked="" type="checkbox"/> Government <input type="checkbox"/> Other, please specify	OECD-Secretariat / Swiss NCP	OECD Due Diligence guidances, specific instances
Presentation of Guideline on Business and Human Rights for Swiss Embassies abroad	08-Dec-2022	Virtual	Webinar	10-50	<input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input checked="" type="checkbox"/> Government <input type="checkbox"/> Other, please specify	Federal Department of Foreign Affairs	CSR-policy Switzerland, OECD-Guidelines and Due Diligence Instruments, NCP
Presentation of Guideline on Business and Human Rights for Swiss Embassies abroad	09-Dec-2022	Virtual	Webinar	10-50	<input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input checked="" type="checkbox"/> Government	Federal Department of Foreign Affairs	CSR-policy Switzerland, OECD-Guidelines and Due Diligence Instruments, NCP

					<input type="checkbox"/> Other, please specify		
Introduction of human rights due diligences (25 events during 2022)	31-Dec-2022	Virtual / different locations in Switzerland	Conference	10-50	<input checked="" type="checkbox"/> Business representatives <input checked="" type="checkbox"/> NGOs <input checked="" type="checkbox"/> Trade Unions <input checked="" type="checkbox"/> Academia <input checked="" type="checkbox"/> Government <input type="checkbox"/> Other, please specify	State Secretariat for Economic Affairs, Responsible unit of implementation of NAP	OECD-Guidelines and Due Diligence Instruments, NCP

Additional comments:	The OECD Due Diligence Instruments were presented during 25 events organized by the State Secretariat for Economic Affairs (Responsible unit of NAP) and the Federal Department of Foreign Affairs. The NCP works very closely with this unit and participated in a few of those events. As the NCP did not actively present, the overall 25 events are counted as 1 event in the reporting.
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Total number of events:	8
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PART 2: SPECIFIC INSTANCES

Please provide details of the following in the table below:

1. Specific instances **in progress** during the year (specific instances received and pending initial assessment, or found to merit further examination after the initial assessment and under consideration by the NCP).
2. Specific instances **concluded** during the year (specific instances that the NCP found to merit further examination after the initial assessment and that have subsequently been closed).
3. Specific instances **not accepted** during the year (specific instances that the NCP found not to merit further examination after initial assessment).
4. Specific instances for which **follow up** was performed during the year (the NCP monitored whether the agreement reached by the parties or its recommendations to the parties have been implemented)

Please add additional lines if needed.

We encourage all NCPs to inform the OECD Secretariat on received specific instances and to provide statements as soon as they become available, in order to keep the OECD database updated at all times. For all specific instances that have not yet been reported to the OECD Secretariat, please complete and send this [form](#) to ncp-specific-instances@oecd.org¹.

¹ According to paragraph 42 of the Commentary on the Implementation Procedures of the OECD Guidelines for Multinational Enterprises, “[...] NCPs will report to the Investment Committee in order to include in the Annual Report on the OECD Guidelines information on all specific instances that have been initiated by parties, including those that are in the process of an initial assessment, those for which offers of good offices have been extended and discussions are in progress, and those in which the NCP has decided not to extend an offer of good offices after an initial assessment”.

For all specific instances that are currently IN PROGRESS:

Names of parties	Date received <i>(dd/mm/yyyy)</i>	Submitter type <i>(NGO, trade union,, etc—see list in reporting form)</i>	Industry sector <i>(Construction, manufacturing, etc—see list in reporting form)</i>	Host Country	Themes <i>(MNE Guidelines chapters)</i>	Is the initial assessment publicly available?	Link to OECD database if available	Did the NCP co-operate with other NCPs in the handling of this specific instance? If yes, please describe.
Click here to enter text.	Click here to enter a date.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.	Click here to enter text.
		Click here to enter text.	Click here to enter text.					
		Click here to enter text.	Click here to enter text.					

Additional comments:	
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Total number of specific instances:	0
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For all specific instances that were CONCLUDED during the year:

Names of parties	Date received (dd/mm/yyyy)	Date concluded (dd/mm/yyyy)	Submitter type (NGO, trade union, etc—see list in reporting form)	Industry sector (Construction, manufacturing, etc—see list in reporting form)	Host Country	Themes (MNE Guidelines chapters)	Link to OECD database if available	Outcomes achieved	Does the final statement plan for a follow up?	Did the NCP cooperate with other NCPs during the handling of this specific instance? If yes, please indicate which NCPs.	Were parallel proceedings involved while handling the specific instance? If yes, please select the type.
Syngenta AG and Maharashtra Association of Pesticide Poisoned Persons, Pesticide Action Network India, Public Eye, European Center for Constitutional and Human Rights, Pesticides Action Network Asia Pacific	17-Sep-2020	16-Jun-2022	NGO	Agriculture, forestry and fishing Click here to enter text.	India	Human rights, Consumer interests	Instances - Organisation for Economic Co-operation and Development (oecd.org)	No agreement, recommendations to the Parties	Follow-up planned for the future	No	Domestic legal systems Due to different views regarding the impact of an ongoing judicial proceedings at the Civil Court of Basel on the mediation process, no agreement could be reached.
Fédération	31-Mar-2022	15-Sep-2022	Trade Union	Other, please specify	USA	General Policies, Human Rights	Instances - Organisation for Economic Co-	Agreement between the Parties	No follow-up	USA	Choose an item.

Internationale de Football Association (FIFA)				Other service activities (Organization of football world cup)			operation and Development (oecd.org)	outside the NCP process				Click here to enter text.
and UNITE HERE Local 11												
Glencore International AG	18-Jan-2021	20-Dec-2022	NGO	Mining and quarrying	Colombia	General Policies, Human Rights, Environment	Instances - Organisation for Economic Co-operation and Development (oecd.org)	No agreement due to withdrawal of submitting party	No follow-up	Colombia, Australia, UK	Domestic legal systems	
and Global Legal Action Network (GLAN)				Click here to enter text.		Several ongoing parallel proceedings						
			Click here to enter text.	Click here to enter text.	Click here to enter text.							
			Click here to enter text.	Click here to enter text.	Click here to enter text.							

Additional comments:	
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Total number of specific instances:	3
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For all specific instances that were NOT ACCEPTED during the year:

Name s of partie s	Date recei ved (dd/mm/ yyyy)	Date conclu ded (dd/mm/y yyy)	Submitter type (NGO, trade union,, etc— see list in reporting form)	Industry sector (Construction, manufacturing , etc—see list in reporting form)	Submitter type (NGO, trade union,, etc— see list in reporting form)	Industry sector (Construction, manufacturing , etc—see list in reporting form)	Host Country	Themes (MNE Guidelines chapters)	Link to OECD database if available	Reasons for not accepting the specific instance:	Did the NCP co- operate with other NCPs during the handling of this specific instance If yes, please indicate which NCPs.	Were parallel proceedings involved while handling the specific instance? If yes, please select the type.
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Choose an item.	Choose an item.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.	Choose an item.
			Click here to enter text.	Click here to enter text.								Click here to enter text.
			Click here to enter text.	Click here to enter text.								Click here to enter text.

Additional comments:

Total number of specific instances:

0

For all specific instances for which FOLLOW UP was performed during the year:

Names of parties	Date received (dd/mm/yyyy)	Date concluded (dd/mm/yyyy)	Date of follow up (dd/mm/yyyy)	Submitter type (NGO, trade union,, etc—see list in reporting form)	Industry sector (Construction, manufacturing, etc—see list in reporting form)	Host Country	Themes (MNE Guidelines chapters)	Was a public follow up statement issued?	Link to the follow up statement, if applicable
UBS and Society for Threatened Peoples Switzerland	22-Jun-2020	20-Dec-2021	26-Oct-2022	NGO	Financial and insurance activities	China	General policies, human rights	Yes	https://www.seco.admin.ch/seco/en/home/Aussenwirtschaftspolitik_Wirtschaftliche_Zusammenarbeit/Wirtschaftsbeziehungen/nachhaltigkeit_unternehmen/nkp/Statements_zu_konkreten_Faellen.html#accordion_438319424_1674472238188
					Click here to enter text.				
BKW Group and Society for Threatened Peoples Switzerland	16-Jan-2020	26-Aug-2021	19-May-2022	NGO	Electricity, gas, steam and air conditioning supply	Norway	General policies, human rights	Yes	https://www.seco.admin.ch/seco/en/home/Aussenwirtschaftspolitik_Wirtschaftliche_Zusammenarbeit/Wirtschaftsbeziehungen/nachhaltigkeit_unternehmen/nkp/Statements_zu_konkreten_Faellen.html#accordion_438319424_1674472238191
					Click here to enter text.				
				Click here to enter text.	Click here to enter text.				

Additional comments:

Total number of specific instances:

2

NATIONAL CONTACT POINT REPORTING QUESTIONNAIRE 2022

Annex

This document is to provide detailed information on **NCP promotional activities (Part 1 below)** and on **specific instances (Part 2 below)**.

INSTRUCTIONS

This document should be completed and saved locally on a computer and uploaded from the same computer at the end of the online questionnaire in the section “**Annex: NCP Promotional activities & Specific Instances**” (after question 57).

Please note that only **Word files** (.doc or .docx) can be uploaded.

Guidance and instructions on how to complete the questionnaire ([here](#)) and the annex ([here](#)) are accessible on the NCP O.N.E Community Space.

HELP & ASSISTANCE

Please contact emily.halstead@oecd.org for any help or assistance to complete the questionnaire.

STRUCTURE

Part 1: NCP PROMOTIONAL ACTIVITIES

Part 2: SPECIFIC INSTANCES

Please enter country name of NCP: Switzerland

PART 1: NCP PROMOTIONAL ACTIVITIES

Please provide information on the events organised or co-organised by the NCP (Table 1), and events in which the NCP has participated to promote the Guidelines (Table 2).
 Please add the indicated information in the table below. Any links to recordings or announcements from events may be included in the comments box. They may also be uploaded as documents with the Annex.
 Please add additional lines if needed. (Right click and select insert rows. The selection fields can be copied and pasted into the new rows)

TABLE 1

NCP-organised and co-organised events to promote the Guidelines and/or the NCP

Title	Date <i>(dd/mm/yyyy)</i>	Location	Type of event	Size of audience	Organised or co-organised?	Targeted audience <i>Select all that apply.</i>	Theme <i>e.g. the OECD Guidelines, the NCP activities on sector due diligence guidance documents, etc.</i>
OECD-Guidelines and NCP for future Swiss Diplomats	18-May-2022	NCP / SECO	Conference	10-50	Co-organised	<input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input checked="" type="checkbox"/> Government <input type="checkbox"/> Other, please specify	CSR-policy Switzerland, OECD-Guidelines and Due Diligence Instruments, NCP
Click here to enter text.		Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	<input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify	Click here to enter text.
Click here to enter text.		Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	<input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify	Click here to enter text.
Click here to enter text.		Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	<input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify	Click here to enter text.
Click here to enter text.		Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	<input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions	Click here to enter text.

						<input checked="" type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify	
Click here to enter text.		Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	<input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify	Click here to enter text.
Click here to enter text.		Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	<input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify	Click here to enter text.

Additional comments:	
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Total number of events:	1
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TABLE 2

Presentations by the NCP to promote the Guidelines and/or the NCP in events organised by others

Title	Date (dd/mm/yyyy)	Location	Type of event	Size of audience	Targeted audience <i>Select all that apply.</i>	Organiser(s)	Theme of the intervention
Sustainable Development	30-Mar-2022	Virtual	Webinar	50-100	<input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input checked="" type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify	University of Graubünden (FH)	OECD Guidelines, OECD Due Diligence Instruments, NCP
CSR policy of Switzerland, OECD-Guidelines, NCP	09-May-2022	University of Berne	Conference	50-100	<input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input checked="" type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify	University of Berne	CSR-policy Switzerland, OECD-Guidelines and Due Diligence Instruments, NCP
Sustainability and soft compliance	03-Nov-2022	Neuchâtel / Hotel Beau Rivage	Conference	50-100	<input checked="" type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify	Fondation de la Haute Horlogerie	OECD-Guidelines and Due Diligence Instruments, NCP
ESG Sustainability: How to ensure a positive impact on communities and the society	17-Nov-2022	Virtual	Conference	50-100	<input checked="" type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify	Swiss-Mex Chamber of Commerce, Mexico	CSR-policy Switzerland, OECD-Guidelines and Due Diligence Instruments, NCP
Workshop on OECD Due diligence concept regarding cause-contribute-directly linked	01-Dec-2022	Virtual	Webinar	10-50	<input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input checked="" type="checkbox"/> Government <input type="checkbox"/> Other, please specify	OECD-Secretariat / Swiss NCP	OECD Due Diligence guidances, specific instances
Presentation of Guideline on Business and Human Rights for Swiss Embassies abroad	08-Dec-2022	Virtual	Webinar	10-50	<input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input checked="" type="checkbox"/> Government <input type="checkbox"/> Other, please specify	Federal Department of Foreign Affairs	CSR-policy Switzerland, OECD-Guidelines and Due Diligence Instruments, NCP
Presentation of Guideline on Business and Human Rights for Swiss Embassies abroad	09-Dec-2022	Virtual	Webinar	10-50	<input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input checked="" type="checkbox"/> Government	Federal Department of Foreign Affairs	CSR-policy Switzerland, OECD-Guidelines and Due Diligence Instruments, NCP

					<input type="checkbox"/> Other, please specify		
Introduction of human rights due diligences (25 events during 2022)	31-Dec-2022	Virtual / different locations in Switzerland	Conference	10-50	<input checked="" type="checkbox"/> Business representatives <input checked="" type="checkbox"/> NGOs <input checked="" type="checkbox"/> Trade Unions <input checked="" type="checkbox"/> Academia <input checked="" type="checkbox"/> Government <input type="checkbox"/> Other, please specify	State Secretariat for Economic Affairs, Responsible unit of implementation of NAP	OECD-Guidelines and Due Diligence Instruments, NCP

Additional comments:	The OECD Due Diligence Instruments were presented during 25 events organized by the State Secretariat for Economic Affairs (Responsible unit of NAP) and the Federal Department of Foreign Affairs. The NCP works very closely with this unit and participated in a few of those events. As the NCP did not actively present, the overall 25 events are counted as 1 event in the reporting.
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Total number of events:	8
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PART 2: SPECIFIC INSTANCES

Please provide details of the following in the table below:

1. Specific instances **in progress** during the year (specific instances received and pending initial assessment, or found to merit further examination after the initial assessment and under consideration by the NCP).
2. Specific instances **concluded** during the year (specific instances that the NCP found to merit further examination after the initial assessment and that have subsequently been closed).
3. Specific instances **not accepted** during the year (specific instances that the NCP found not to merit further examination after initial assessment).
4. Specific instances for which **follow up** was performed during the year (the NCP monitored whether the agreement reached by the parties or its recommendations to the parties have been implemented)

Please add additional lines if needed.

We encourage all NCPs to inform the OECD Secretariat on received specific instances and to provide statements as soon as they become available, in order to keep the OECD database updated at all times. For all specific instances that have not yet been reported to the OECD Secretariat, please complete and send this [form](#) to ncp-specific-instances@oecd.org¹.

¹ According to paragraph 42 of the Commentary on the Implementation Procedures of the OECD Guidelines for Multinational Enterprises, “[...] NCPs will report to the Investment Committee in order to include in the Annual Report on the OECD Guidelines information on all specific instances that have been initiated by parties, including those that are in the process of an initial assessment, those for which offers of good offices have been extended and discussions are in progress, and those in which the NCP has decided not to extend an offer of good offices after an initial assessment”.

For all specific instances that are currently IN PROGRESS:

Names of parties	Date received <i>(dd/mm/yyyy)</i>	Submitter type <i>(NGO, trade union,, etc—see list in reporting form)</i>	Industry sector <i>(Construction, manufacturing, etc—see list in reporting form)</i>	Host Country	Themes <i>(MNE Guidelines chapters)</i>	Is the initial assessment publicly available?	Link to OECD database if available	Did the NCP co-operate with other NCPs in handling of this specific instance? If yes, please describe.
Click here to enter text.		Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.	Click here to enter text.
		Click here to enter text.	Click here to enter text.					

Additional comments:	
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Total number of specific instances:	0
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For all specific instances that were CONCLUDED during the year:

Names of parties	Date received (dd/mm/yyyy)	Date concluded (dd/mm/yyyy)	Submitter type (NGO, trade union, etc—see list in reporting form)	Industry sector (Construction, manufacturing, etc—see list in reporting form)	Host Country	Themes (MNE Guidelines chapters)	Link to OECD database if available	Outcomes achieved	Does the final statement plan for a follow up?	Did the NCP cooperate with other NCPs during the handling of this specific instance? If yes, please indicate which NCPs.	Were parallel proceedings involved while handling the specific instance? If yes, please select the type.
Syngenta AG and Maharashtra Association of Pesticide Poisoned Persons, Pesticide Action Network India, Public Eye, European Center for Constitutional and Human Rights, Pesticides Action Network Asia Pacific	17-Sep-2020	16-Jun-2022	NGO	Agriculture, forestry and fishing Click here to enter text.	India	Human rights, Consumer interests	Instances - Organisation for Economic Co-operation and Development (oecd.org)	No agreement, recommendations to the Parties	Follow-up planned for the future	No	Domestic legal systems Due to different views regarding the impact of an ongoing judicial proceedings at the Civil Court of Basel on the mediation process, no agreement could be reached.
Fédération	31-Mar-2022	15-Sep-2022	Trade Union	Other, please specify	USA	General Policies, Human Rights	Instances - Organisation for Economic Co-	Agreement between the Parties	No follow-up	USA	

Internationale de Football Association (FIFA)				Other service activities (Organization of football world cup)			operation and Development (oecd.org)	outside the NCP process				Click here to enter text.
and UNITE HERE Local 11												
Glencore International AG and Global Legal Action Network (GLAN)	18-Jan-2021	20-Dec-2022	NGO	Mining and quarrying	Colombia	General Policies, Human Rights, Environment	Instances - Organisation for Economic Co-operation and Development (oecd.org)	No agreement due to withdrawal of submitting party	No follow-up	Colombia, Australia, UK	Domestic legal systems	
				Click here to enter text.		Several ongoing parallel proceedings						
			Click here to enter text.	Click here to enter text.		Click here to enter text.						
			Click here to enter text.	Click here to enter text.		Click here to enter text.						

Additional comments:	
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Total number of specific instances:	3
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For all specific instances that were NOT ACCEPTED during the year:

Names of parties	Date received <i>(dd/mm/yyyy)</i>	Date concluded <i>(dd/mm/yyyy)</i>	Submitter type <i>(NGO, trade union., etc—see list in reporting form)</i>	Industry sector <i>(Construction, manufacturing, etc—see list in reporting form)</i>	Submitter type <i>(NGO, trade union., etc—see list in reporting form)</i>	Industry sector <i>(Construction, manufacturing, etc—see list in reporting form)</i>	Host Country	Themes <i>(MNE Guidelines chapters)</i>	Link to OECD database if available	Reasons for not accepting the specific instance:	Did the NCP cooperate with other NCPs during the handling of this specific instance? If yes, please indicate which NCPs.	Were parallel proceedings involved while handling the specific instance? If yes, please select the type.
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.	Click here to enter text.
			Click here to enter text.	Click here to enter text.								Click here to enter text.
			Click here to enter text.	Click here to enter text.								Click here to enter text.

Additional comments:

Total number of specific instances:

0

For all specific instances for which FOLLOW UP was performed during the year:

Names of parties	Date received (dd/mm/yyyy)	Date concluded (dd/mm/yyyy)	Date of follow up (dd/mm/yyyy)	Submitter type (NGO, trade union,, etc—see list in reporting form)	Industry sector (Construction, manufacturing, etc—see list in reporting form)	Host Country	Themes (MNE Guidelines chapters)	Was a public follow up statement issued?	Link to the follow up statement, if applicable
UBS and Society for Threatened Peoples Switzerland	22-Jun-2020	20-Dec-2021	26-Oct-2022	NGO	Financial and insurance activities	China	General policies, human rights	Yes	https://www.seco.admin.ch/seco/en/home/Aussenwirtschaftspolitik_Wirtschaftliche_Zusammenarbeit/Wirtschaftsbeziehungen/nachhaltigkeit_unternehmen/nkp/Statements_zu_konkreten_Faellen.html#accordion_438319424_1674472238188
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BKW Group and Society for Threatened Peoples Switzerland	16-Jan-2020	26-Aug-2021	19-May-2022	NGO	Electricity, gas, steam and air conditioning supply	Norway	General policies, human rights	Yes	https://www.seco.admin.ch/seco/en/home/Aussenwirtschaftspolitik_Wirtschaftliche_Zusammenarbeit/Wirtschaftsbeziehungen/nachhaltigkeit_unternehmen/nkp/Statements_zu_konkreten_Faellen.html#accordion_438319424_1674472238191
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Additional comments:

Total number of specific instances:

2