## Annex III Referred to in Chapter 6

## Lists of Reservations

Appendices 1 and 2 to this Annex are Lists of Reservations of Japan and Switzerland, respectively, referred to in Article 57.

## Appendix 1 Lists of Reservations of Japan

## Section 1 Existing Measures

- 1. This list sets out, pursuant to Article 57, the reservations taken by Japan with respect to existing measures that do not conform with obligations imposed by:
  - (a) Article 45
  - (b) Article 46
  - (c) Article 47
- 2. Each reservation in this list sets out the following elements:
  - (a) "Sector" refers to the general sector in which the reservation is taken;
  - (b) "Sub-Sector" refers to the specific sector in which the reservation is taken;
  - (c) "Industry Classification" refers, where applicable, and only for transparency purposes, to the activity covered by the reservation according to domestic or international industry classification codes;
  - (d) "Type of Reservation" specifies the obligations referred to in paragraph 1 for which the reservation is taken;
  - (e) "Level of Government" indicates the level of government maintaining the measure for which the reservation is taken;
  - (f) "Measures" identifies the existing laws, regulations or other measures for which the reservation is taken. A measure cited in the "Measures" element:
    - (i) means the measure as amended, continued or renewed as of the date of entry into force of this Agreement; and
    - (ii) includes any subordinate measure adopted or maintained under the authority of and consistent with the measure; and

- (g) "Description" sets out, with regard to the obligations referred to in paragraph 1, the non-conforming aspects of the existing measures for which the reservation is taken.
- 3. In the interpretation of a reservation, all elements of the reservation shall be considered. A reservation shall be interpreted in the light of the relevant provisions of Chapter 6 against which the reservation is taken, and the "Measures" element shall prevail over all other elements.
- 4. With respect to Financial Services:
  - For prudential reasons within the context of paragraph 1 of Article VI of Annex VI, Japan shall not be prevented from taking measures such as non-discriminatory limitations on juridical forms of a commercial presence. For the same reasons, Japan shall not be prevented from applying non-discriminatory limitations concerning admission to the market of new financial services which shall be consistent with regulatory framework aimed at achieving such prudential objectives. In this context, securities firms are allowed to deal in securities defined in the relevant laws of Japan, and banks are not allowed to deal in those securities unless allowed in accordance with those laws.
  - (b) Services supplied in the Area of Switzerland to the service consumer in Japan without any active marketing from the service supplier are considered as services supplied under subparagraph (t)(ii) of Article 44.
- 5. With respect to air transport services, measures affecting traffic rights or measures affecting services directly related to the exercise of traffic rights are not listed in this list, as these are excluded from the scope of Chapter 6 pursuant to paragraph 2 of Article 43.
- 6. Laws and regulations with regard to spectrum availability affecting obligations under Article 46 are not included in this list, taking into account the Attachment 6 of Guidelines for the Scheduling of Specific Commitments (WTO Document S/L/92, dated 28 March 2001).

7. For the purposes of this Appendix, "JSIC" means Japan Standard Industrial Classification set out by the Statistics Bureau, Ministry of Internal Affairs and Communications, and revised on 6 November 2007.

1 Sector:

Agriculture, Forestry and Fisheries,

and Related Services (except

fisheries within the territorial sea, internal waters, exclusive economic zone and continental shelf provided for in the reservation No.16 in

Section 2)

Sub-Sector:

Industry

Classification: JSIC 01 Agriculture

JSIC 02 Forestry

JSIC 03 Fisheries, except aquaculture

JSIC 04 Aquaculture

JSIC 6324 Agricultural cooperatives

JSIC 6325 Fishery and fishery processing cooperatives

JSIC 871 Agriculture, forestry and fisheries cooperative associations, n.e.c.

Type of

Reservation: National Treatment (Article 47)

Level of

Government: Central Government

Measures: Foreign Exchange and Foreign Trade

Law (Law No. 228 of 1949), Article 27

Cabinet Order on Foreign Direct Investment (Cabinet Order No. 261 of

1980), Article 3

Description: The prior notification requirement

under the Foreign Exchange and

Foreign Trade Law applies to foreign

investors who intend to make

investments in agriculture, forestry and fisheries and related services

(except fisheries within the territorial sea, internal waters,

exclusive economic zone and

continental shelf provided for in the

reservation No.16 in Section

2) in the Area of Japan.

2 Sector: Automobile Maintenance Business

Motor Vehicle Disassembling Repair Sub-Sector:

Business

Industry

Classification: JSIC 89 Automobile maintenance

services

Type of

Reservation: Market Access (Article 46)

Level of

Government: Central Government

Measures: Road Vehicle Law (Law No. 185 of

1951), Chapter 6

Description: A person who intends to conduct motor

vehicle disassembling repair

businesses is required to establish a workplace in Japan and to obtain an approval of the Director-General of the District Transport Bureau having jurisdiction over the district where the workplace is located.

3 Sector: Business Services

Sub-Sector:

Industry

Classification: JSIC 9111 Employment services

JSIC 9121 Worker dispatching

services

Type of

Reservation: Market Access (Article 46)

Level of

Government: Central Government

Measures: Employment Security Law (Law No. 141

of 1947), Chapters 3 and 3-3

Law Concerning Securing the Proper Operation of Worker Dispatching Undertakings and Improved Working Conditions for Dispatched Workers (Law No. 88 of 1985), Chapter 2

Port Labor Law (Law No. 40 of 1988),

Chapter 4

Mariner's Employment Security Law (Law No. 130 of 1948), Chapter 3

Law Concerning the Improvement of Employment of Construction Workers (Law No. 33 of 1976), Chapters 5 and

6

Description:

A person who intends to supply the following services for enterprises in Japan is required to have an establishment in Japan and to obtain permission from, or to submit notification to, the competent authority, as applicable:

- (a) private job placement services including fee-charging job placement services for construction workers; or
- (b) worker dispatching services including stevedore dispatching services, mariner dispatching services and work opportunities

securing services for construction workers.

Labour supply services may be supplied only by a labour union which has obtained permission from the competent authority pursuant to Employment Security Law.

4 Sector: Collection Agency Services

Sub-Sector:

Industry

Classification: JSIC 6619 Miscellaneous financial

auxiliaries

JSIC 7299 Professional services,

n.e.c.

Type of

Reservation: Market Access (Article 46)

Level of

Government: Central Government

Measures: Special Measures Law Concerning

Credit Management and Collection Business (Law No. 126 of 1998),

Articles 3 and 4

Lawyers Law (Law No. 205 of 1949),

Articles 72 and 73

Description: A person who intends to supply

collection agency services which constitute the practice of law in respect of legal cases is required to be qualified as an attorney at law under the laws and regulations of

Japan ("Bengoshi"), a legal

professional corporation under the

laws and regulations of Japan

("Bengoshi-hojin") or an enterprise established under the Special Measures Law Concerning Credit Management and Collection Business, and to establish

an office in Japan.

No person may take over and recover other person's credits as business except an enterprise established under the Special Measures Law Concerning Credit Management and Collection

Business that handles credits pursuant

to provisions of that Law.

5 Sector: Construction

Sub-Sector:

Industry

Classification: JSIC 06 Construction work, general,

including public and private

construction work

JSIC 07 Construction work by

specialist contractor, except equipment installation work

JSIC 08 Equipment installation work

Type of

Reservation: Market Access (Article 46)

Level of

Government: Central Government

Measures: Construction Business Law (Law

No. 100 of 1949), Chapter 2

Law Concerning Recycling of

Construction Materials (Law No. 104

of 2000), Chapter 5

Description:

1. A person who intends to conduct construction business is required to establish a place of business in Japan and to obtain permission from the Minister of Land, Infrastructure,

prefectural governor having

jurisdiction over the district where the place of business is located.

Transport and Tourism or from the

2. A person who intends to conduct demolition work business is required to establish a place of business in Japan and to be registered with the

prefectural governor having

jurisdiction over the district where the place of business is located. 6 Sector: Distribution Services

Sub-Sector: Wholesale Trade Services, Retailing

Services, Commission Agents' Services,

Related to Alcoholic Beverages

Industry

Classification: JSIC 5222 Liquors

JSIC 5851 Liquor stores

Type of

Reservation: Market Access (Article 46)

Level of

Government: Central Government

Liquor Tax Law (Law No. 6 of 1953), Articles 9, 10 and 11  $\,$ Measures:

Description: The number of licences conferred to

service suppliers in this sub-sector

may be limited.

7 Sector: Distribution Services

Sub-Sector: Wholesale Trade Services Supplied at

Public Wholesale Market

Industry

Classification: JSIC 521 Agricultural, animal and

poultry farm and aquatic

products

Type of

Reservation: Market Access (Article 46)

Level of

Government: Central Government

Measures: Wholesale Market Law (Law No.35 of

1971), Articles 15, 17 and 33

Description: The number of licences conferred to

wholesale trade service suppliers at

public wholesale markets may be

limited.

Sector: Education, Learning Support

Sub-Sector: Higher Educational Services

Industry

Classification: JSIC 816 Institution of higher

education

Type of

Reservation: Market Access (Article 46)

Level of

Government: Central Government

Measures: Fundamental Law of Education (Law No.

120 of 2006), Article 6

School Education Law (Law No. 26 of

1947), Article 2

Private School Law (Law No. 270 of

1949), Article 3

Description: Higher educational services supplied

as formal education in Japan must be

supplied by formal education institutions. Formal education

institutions must be established by

school juridical persons.

"Formal education institutions" means elementary schools, lower secondary

schools, secondary schools, upper secondary schools, universities, junior colleges, colleges of

technology, special support schools

and kindergartens.

"School juridical person" means a nonprofit juridical person established

for the purposes of supplying educational services under the law of

Japan.

9 Sector: Financial Services

Banking and Other Financial Services (excluding Insurance and Insurance-Sub-Sector:

Related Services)

Industry

Classification: JSIC 622 Banks, except central bank

JSIC 631 Financial institutions for

small-businesses

Type of

Obligation: National Treatment (Article 47)

Level of

Central Government Government:

Deposit Insurance Law (Law No. 34 of Measures:

1971), Article 2

Description: The deposit insurance system does not

cover deposits taken by branches of

foreign banks.

10 Sector: Financial Services

Sub-Sector: Insurance and Insurance-

Related Services

Industry

Classification: JSIC 672 Non-life insurance

institutions

JSIC 6742 Non-life insurance agents

and brokers

Type of

Reservation: Market Access (Article 46)

Level of

Government: Central Government

Measures: Insurance Business Law (Law No. 105 of

1995), Articles 185,186,275, 276, 277,

286 and 287

Cabinet Order for Enforcement of Insurance Business Law (Cabinet Order

No. 425 of 1995), Articles 19 and 39-2

Ministerial Ordinance for Enforcement of Insurance Business Law (Ministerial Ordinance of the Ministry of Finance No.5 of 1996), Articles 116 and 212-6

Description: Commercial presence is in principle

required for insurance contracts on the following items and any liability

arising therefrom:

(a) goods being transported within

Japan; and

(b) ships of Japanese

registration which are not used

for international maritime

transport.

Heat Supply 11 Sector:

Sub-Sector:

Industry

Classification: JSIC 3511 Heat supply

Type of

Reservation: National Treatment (Article 47)

Level of

Government: Central Government

Measures: Foreign Exchange and Foreign Trade

Law (Law No. 228 of 1949), Article 27

Cabinet Order on Foreign Direct Investment (Cabinet Order No. 261

of 1980), Article 3

Description: The prior notification requirement

under the Foreign Exchange and

Foreign Trade Law applies to foreign investors who intend to make investments in the heat supply

industry in Japan.

12 Sector: Information and Communications

Sub-Sector: Telecommunications

Industry

Classification: JSIC 3700 Head offices primarily

engaged in managerial

operations

JSIC 3711 Regional telecommunications,

except wire broadcast

telephones

JSIC 3731 Services incidental

to telecommunications

Type of

Reservation: Market Access (Article 46)

National Treatment (Article 47)

Level of

Government: Central Government

Measures: Law Concerning Nippon Telegraph and

Telephone Corporation (Law No. 85

of 1984), Articles 6 and 10

Description: 1.

1. Nippon Telegraph and Telephone Corporation may not enter the name and address in its register of shareholders if the aggregate of the ratio of the voting rights directly and/or indirectly held by the persons set forth in subparagraphs (a) to (c) below reaches or exceeds

one third:

- (a) a natural person who does not have Japanese nationality;
- (b) a foreign government or its
   representative; and
- (c) a foreign legal person or a foreign entity.
- 2. Any natural person who does not have Japanese nationality may not assume the office of director or auditor of Nippon Telegraph and Telephone Corporation, Nippon Telegraph and Telephone East

Corporation and Nippon Telegraph and Telephone West Corporation.

13 Sector: Information and Communications

Telecommunications and Internet Based Sub-Sector:

Services

Industry

Classification: JSIC 3711 Regional telecommunications,

except wire broadcast

telephones

JSIC 3712 Long-distance

telecommunications

JSIC 3719 Miscellaneous fixed

telecommunications

JSIC 3721 Mobile telecommunications

JSIC 401 Internet based services

Note: The activities covered by the reservation under JSIC 3711, 3712, 3719, 3721 or 401 are limited to the activities which are subject to the registration obligation under Article 9 of the Telecommunications Business

Law (Law No. 86 of 1984).

Type of

Reservation: National Treatment (Article 47)

Level of

Government: Central Government

Foreign Exchange and Foreign Trade Measures:

Law (Law No. 228 of 1949), Article 27

Cabinet Order on Foreign Direct Investment (Cabinet Order No. 261 of 1980), Article 3

Description: The prior notification requirement

under the Foreign Exchange and

Foreign Trade Law applies to foreign

investors who intend to make

investments in telecommunications business and Internet based services

in Japan.

14 Sector: Manufacturing

> Shipbuilding and Repairing, and Marine Sub-Sector:

> > Engines

Industry

Classification: JSIC 3131 Shipbuilding and repairing

Type of

Reservation: Market Access (Article 46)

Level of

Central Government Government:

Measures: Shipbuilding Law (Law No. 129 of

1950), Articles 2, 3 and 3-2

Description:

A person who intends to establish or extend docks, which can be used to manufacture or repair vessels beyond a fixed scale, is required to obtain permission from the Minister of Land, Infrastructure, Transport and Tourism. The issuance of a licence is subject to the requirements of an economic

needs test.

15 Sector: Manufacturing

Sub-Sector: Services Incidental to Drugs and

Medicines Manufacturing

Industry

Classification: JSIC 1653 Biological preparations

Type of

Reservation: National Treatment (Article 47)

Level of

Government: Central Government

Measures: Foreign Exchange and Foreign Trade Law

(Law No. 228 of 1949), Article 27

Cabinet Order on Foreign Direct Investment (Cabinet Order No. 261 of

1980), Article 3

Description: The prior notification

requirement under the Foreign
Exchange and Foreign Trade Law
applies to foreign investors
who intend to make investments in
biological preparations manufacturing

industry in Japan.

For greater certainty, "biological preparations manufacturing industry" deals with economic activities in establishment which mainly produces vaccine, serum, toxoid, antitoxin and

some preparations similar to the aforementioned products or blood

products.

16 Sector: Manufacturing

Sub-Sector: Services Incidental to Manufacturing

(Production Services on a Fee or

Contract Basis Relating to Leather and

Leather Products Manufacturing)

Industry

Classification: JSIC 1189 Textile apparel and

accessories, n.e.c.

JSIC 1694 Gelatine and adhesives

JSIC 192 Rubber and plastic footwear

and its findings

JSIC 2011 Leather tanning and

finishing

JSIC 2021 Mechanical leather

products, except gloves and

mittens

JSIC 2031 Cut stock and findings for

boots and shoes

JSIC 2041 Leather footwear

JSIC 2051 Leather gloves and mittens

JSIC 2061 Baggage

JSIC 207 Handbags and small leather

cases

JSIC 2081 Fur skins

JSIC 2099 Miscellaneous leather

products

JSIC 3253 Sporting and athletic goods

Note 1: The activities covered by the reservation under JSIC 1189 or

3253 are limited to the activities related to leather

and leather products

manufacturing.

Note 2: The activities covered by the reservation under JSIC 1694 are limited to the activities

related to animal glue

(nikawa) and gelatine manufacturing.

Type of

Reservation: National Treatment (Article 47)

Level of

Government: Central Government

Measures: Foreign Exchange and Foreign Trade Law

(Law No. 228 of 1949), Article 27

Cabinet Order on Foreign Direct Investment (Cabinet Order No. 261 of

1980), Article 3

Description: The prior notification

requirement under the Foreign
Exchange and Foreign Trade Law
applies to foreign investors
who intend to make investments in
production services on a fee or

contract basis relating to leather and

leather products manufacturing

business in Japan.

17 Sector: Matters Related to the Nationality of

a Ship

Sub-Sector:

Industry
Classification:

Type of

Reservation: Market Access (Article 46)

National Treatment (Article 47)

Level of

Government: Central Government

Measures: Ship Law (Law No. 46 of 1899), Article

1

Description: Nationality requirement applies to the

supply of international maritime

transport services (including services

of passenger transportation and freight transportation) through

establishment of a registered company operating a fleet flying the flag of

Japan.

"Nationality requirement" means that the ship must be owned by a Japanese national, or a company established under the law of Japan, of which all the representatives and not less than

two-thirds of the executives

administering the affairs are Japanese

nationals.

18 Sector: Measuring Services

Sub-Sector:

Industry

Classification: JSIC 7441 Commodity inspection

services

JSIC 745 Surveyor certification

Type of

Reservation: Market Access (Article 46)

Level of

Government: Central Government

Measures: Measurement Law (Law No. 51 of 1992),

Chapters 3, 5, 6 and 8

Regulations on Measurement

Law (Ministerial Ordinance of the Ministry of International Trade and

Industry No. 69 of 1993)

Ministerial Ordinance for Designated

Inspection Body, Designated

Verification Body, Designated Measurement Certification Inspection

Body and Specified Measurement Certification Accreditation Body

(Ministerial Ordinance of the

Ministry of International Trade and

Industry No. 72 of 1993)

Description:

- 1. A person who intends to supply services of conducting the periodic inspection of specified measuring instruments is required to establish a legal person in Japan and to be designated by the prefectural governor having jurisdiction over the district where the person intends to conduct such inspection, or by the mayor of a designated city or the chief of a designated ward or village in case the place where the person intends to conduct such inspection is located within the district of such designated city, ward or village.
- 2. A person who intends to supply services of conducting the verification of specified measuring

instruments is required to establish a legal person in Japan and to be designated by the Minister of Economy, Trade and Industry.

- 3. A person who intends to conduct measurement certification business, including specified measurement certification business, is required to have an establishment in Japan and to be registered with the prefectural governor having jurisdiction over the district where the establishment is located.
- 4. A person who intends to supply services of conducting the inspection of specified measuring instruments used for the measurement certification is required to establish a legal person in Japan and to be designated by the prefectural governor having jurisdiction over the district where the person intends to conduct such inspection.
- 5. A person who intends to supply services of conducting the accreditation for a person engaged in specified measurement certification business is required to establish a legal person in Japan and to be designated by the Minister of Economy, Trade and Industry.
- 6. A person who intends to supply services of conducting the calibration of measuring instruments is required to establish a legal person in Japan and to be designated by the Minister of Economy, Trade and Industry.

19 Sector: Medical, Health Care and Welfare

Sub-Sector:

Industry

Classification: JSIC 8599 Miscellaneous social

insurance, social welfare

and care services

Type of

reservation: Market Access (Article 46)

Level of

Government: Central Government

Measures: Law Concerning Collection of Labour

Insurance Premium (Law No. 84 of

1969), Chapter 4

Enforcement Regulations for the Law Concerning Collection of Labour Insurance Premium (Ministerial Ordinance of the Ministry of Labour

No. 8 of 1972)

Description: Only an association of business

proprietors or a federation of such associations approved by the Minister of Health, Labour and Welfare under the laws and regulations of Japan may conduct labour insurance businesses entrusted by business proprietors. An association which intends to conduct such labour insurance businesses under the laws and regulations of Japan is required to establish an office in Japan and to obtain the approval of the Minister of Health, Labour and

Welfare.

20 Sector: Mining

Sub-Sector: Services Incidental to Mining

Industry

Classification: JSIC 05 Mining and quarrying of stone

and gravel

Type of

Reservation: Market Access (Article 46)

National Treatment (Article 47)

Level of

Government: Central Government

Measures: Mining Law (Law No. 289 of 1950),

Chapters 2 and 3

Foreign Exchange and Foreign Trade Law (Law No. 228 of 1949), Articles 27 and

30

Cabinet Order on Foreign Direct Investment (Cabinet Order No. 261

of 1980), Articles 3 and 5

Description: Only a Japanese national or a

juridical person established under

the law of Japan may have

mining rights or mining lease rights.

The prior notification

requirement under the Foreign Exchange and Foreign Trade Law applies to foreign investors

who intend to make investments in services incidental to mining business

in Japan.

21 Sector: Oil Industry

Sub-Sector: Storage and Warehouse Services

Relating to Petroleum and Petroleum

Products

Distribution Services Related to Petroleum and Petroleum Products

Industry

Classification: JSIC 4711 Ordinary warehousing

JSIC 4721 Refrigerated warehousing

JSIC 5331 Petroleum

JSIC 6051 Petrol stations (gasoline service stations)

JSIC 6052 Fuel stores, except gasoline service stations

JSIC 9299 Miscellaneous business services, n.e.c.

Note 1: The activities covered by the reservation under JSIC 4711, 4721 or 6052 are limited to the activities related to oil industry.

Note 2: The activities covered by the reservation under JSIC 9299 are limited to the activities related to liquefied petroleum gas industry.

Type of

Reservation: National Treatment (Article 47)

Level of

Government: Central Government

Measures: Foreign Exchange and Foreign Trade

Law (Law No. 228 of 1949), Article 27

Cabinet Order on Foreign Direct Investment (Cabinet Order No. 261

of 1980), Article 3

Description: The prior notification requirement

under the Foreign Exchange and Foreign Trade Law applies to foreign investors who intend to make investments in oil industry in Japan.
All organic chemicals such as ethylene, ethylene glycol and polycarbonates are outside the scope of the oil industry.

22 Sector: Professional Services

Sub-Sector:

Industry

Classification: JSIC 7211 Lawyers' offices

Type of

Reservation: Market Access (Article 46)

Level of

Government: Central Government

Measures: Lawyers Law (Law No. 205 of 1949),

Chapters 3, 4, 4-2, 5 and 9

Description: A natural person who intends to

supply legal services is required to be qualified as an attorney at law under the laws and regulations of Japan ("Bengoshi") and to establish an office within the district of the local bar association to which the

natural person belongs.

An enterprise which intends to supply

legal services is required to

establish a law firm under the laws and regulations of Japan ("Bengoshi-

Hojin").

Professional Services 23 Sector:

Sub-Sector:

Industry

Classification: JSIC 7211 Lawyers' offices

Type of

Reservation: Market Access (Article 46)

Level of

Government: Central Government

Measures: Law on Special Measures Concerning

> the Handling of the Legal Business by Foreign Legal Consultant (Law No. 66

of 1986), Chapters 2 and 4

Description: A natural person who intends to

supply foreign legal consultant

services is required to be qualified as a foreign legal consultant under the laws and regulations of Japan ("Gaikoku-Ho-Jimu-Bengoshi") and to

establish an office within the

district of the local bar association to which the natural person belongs.

A foreign legal consultant under the

laws and regulations of Japan is required to stay in Japan for not less

than 180 days per year.

24 Sector: Professional Services

Sub-Sector:

Industry

Classification: JSIC 7212 Patent attorneys' offices

Type of

Reservation: Market Access (Article 46)

Level of

Government: Central Government

Measures: Patent Attorney Law (Law No. 49 of

2000), Chapters 3, 6 and 8

Description: A natural person who intends to supply

patent attorney services is required to be qualified as a patent attorney under the laws and regulations of

Japan ("Benrishi").

An enterprise which intends to supply patent attorney services is required

to establish a patent business corporation under the laws and

regulations of Japan ("Tokkyo-Gyoumu-

Hojin").

25 Sector: Professional Services

Sub-Sector:

Industry

Classification: JSIC 7221 Notaries public's and

judicial scriveners'

offices

Type of

Reservation: Market Access (Article 46)

National Treatment (Article 47)

Level of

Government: Central Government

Notary Law (Law No. 53 of 1908), Chapters 2 and 3  $\,$ Measures:

Description: Only a Japanese national may be

appointed as a notary in Japan.

The notary is required to establish an office in the place designated by the Minister of Justice.

26 Sector: Professional Services

Sub-Sector:

Industry

Classification: JSIC 7221 Notaries public's and

judicial scriveners'

offices

Type of

Reservation: Market Access (Article 46)

Level of

Government: Central Government

Measures: Judicial Scrivener Law (Law No. 197

of 1950), Chapters 3, 4, 5, 7

and 10

Description: A natural person who intends to

supply judicial scrivener services is required to be qualified as a judicial

scrivener under the laws and regulations of Japan ("Shiho-

Shoshi") and to establish an office within the district of the judicial scrivener association to which the

natural person belongs.

An enterprise which intends to supply

judicial scrivener services is required to establish a judicial scrivener corporation under the laws and regulations of Japan ("Shiho-

Shoshi-Hojin").

Sub-Sector:

Industry

Classification: JSIC 7241 Certified public

accountants' offices

Type of

Reservation: Market Access (Article 46)

Level of

Government: Central Government

Measures: Certified Public Accountant Law (Law

No. 103 of 1948), Chapters 3, 5-2 and

7

Description: A natural person who intends to supply

certified public accountants services

is required to be qualified as a

certified public accountant under the

laws and regulations of Japan

("Koninkaikeishi").

An enterprise which intends to supply certified public accountants services

is required to establish an audit

corporation under the laws and regulations of Japan("Kansa-Hojin").

Sub-Sector:

Industry

Classification: JSIC 7242 Auditors' offices

Type of

Reservation: Market Access (Article 46)

Level of

Government: Central Government

Measures: Certified Public Tax Accountant Law

(Law No. 237 of 1951), Chapters 3, 4,

5-2, 6 and 7

Enforcement Regulation on Certified

Public Tax Accountant Law (Ministerial Ordinance of the

Ministry of Finance No. 55 of 1951)

Description: A natural person who intends to

supply certified public tax

accountant services is required to be qualified as a certified public tax

accountant under the laws and regulations of Japan ("Zeirishi") and to establish an office within the

district of certified public tax accountant association to which the

natural person belongs.

An enterprise which intends to supply

certified public tax accountant services is required to establish a certified public tax accountant corporation under the laws and regulations of Japan ("Zeirishi-

Hojin").

Sub-Sector:

Industry

Classification: JSIC 7231 Administrative

scriveners' offices

JSIC 7294 Certified real estate

appraisers

JSIC 7299 Professional services,

n.e.c.

JSIC 7421 Architectural design

services

Type of

Reservation: Market Access (Article 46)

Level of

Government: Central Government

Measures: Architect and/or Building Engineer

Law (Law No. 202 of 1950), Chapters

1, 2 and 6

Description: An architect and/or building

engineer, qualified as such under the

laws and regulations of Japan
("Kenchikushi"), or a person

employing such an architect and/or building engineer, who intends to

conduct business of design,

superintendence of construction work,

administrative work related to construction work contracts,

supervision of building construction

work, survey and evaluation of buildings, and representation in procedure under the laws and

procedure under ene raws and

regulations concerning construction, upon request from others for

remuneration, is required to establish an office in Japan.

Sub-Sector:

Industry

Classification: JSIC 7251 Certified social

insurance and labour
consultants' offices

Type of

Reservation: Market Access (Article 46)

Level of

Government: Central Government

Measures: Certified Social Insurance and Labour

Consultant Law (Law No. 89 of 1968),

Chapters 2-2, 4-2, 4-3 and

5

Description: A natural person who intends to

supply social insurance and labour consultant services is required to be

qualified as a certified social

insurance and labour consultant under the laws and regulations of Japan

("Shakai-Hoken-Romushi") and to establish an office in Japan.

An enterprise which intends to supply social insurance and labour consultant

services is required to establish a certified social insurance and labour consultant corporation under the laws

and regulations of Japan ("Shakai-

Hoken-Romushi-Hojin").

Sub-Sector:

Industry

Classification: JSIC 7231 Administrative scriveners'

offices

Type of

Reservation: Market Access (Article 46)

Level of

Government: Central Government

Measures: Administrative Scrivener Law

(Law No. 4 of 1951), Chapters 3,

4, 5 and 8

Description: A natural person who intends to

supply administrative scrivener

services is required to be qualified as an administrative scrivener under the laws and regulations of Japan ("Gyousei-Shoshi") and to establish an office within the district of the administrative scrivener association to which the natural person belongs.

An enterprise which intends to supply administrative scrivener services is

required to establish an

administrative scrivener corporation under the laws and regulations of Japan ("Gyousei-Shoshi-Hojin").

Sub-Sector:

Industry

Classification: JSIC 7299 Professional services,

n.e.c.

Type of

Reservation: Market Access (Article 46)

Level of

Central Government Government:

Measures: Maritime Procedure Agents Law (Law No.

32 of 1951), Article 17

Description: Maritime procedure agent services must

be supplied by a natural person who is qualified as a maritime procedure agent under the laws and regulations

of Japan ("Kaijidairishi").

Sub-Sector:

Industry

Classification: JSIC 7222 Land and house surveyors'

offices

Type of

Reservation: Market Access (Article 46)

Level of

Government: Central Government

Measures: Land and House Surveyor Law (Law

No. 228 of 1950), Chapters 3, 4,

5, 7 and 10

Description: A natural person who intends to

supply land and house surveyor

services is required to be qualified as a land and house surveyor under the

laws and regulations of Japan

("Tochi-Kaoku-Chosashi") and to establish an office within the district of the land and house

surveyor association to which the

natural person belongs.

An enterprise which intends to supply land and house surveyor services is

required to establish a land and house surveyor corporation under the

laws and regulations of Japan
("Tochi-Kaoku-Chosashi-Hojin").

34 Sector: Real Estate

Sub-Sector:

Industry

Classification: JSIC 6811 Sales agents of buildings

and houses

JSIC 6812 Land subdividers and

developers

JSIC 6821 Real estate agents and

brokers

JSIC 6941 Real estate managers

Type of

Reservation: Market Access (Article 46)

Level of

Government: Central Government

Measures: Building Lots and Buildings

Transaction Business Law (Law No. 176

of 1952), Chapter 2

Real Estate Syndication Law (Law

No. 77 of 1994), Chapter 2

Law Concerning Improving Management

of Condominiums (Law No. 149 of

2000), Chapter 3

Description:

1. A person who intends to conduct

building lots and buildings

transaction business is required to establish an office in Japan and to obtain licence from the Minister of Land, Infrastructure, Transport and Tourism or from the prefectural

governor having jurisdiction over the district where the office is located.

2. A person who intends to conduct real estate syndication business is required to establish an office in Japan and to obtain permission from the competent Minister or from the prefectural governor having

jurisdiction over the district where

the office is located.

3. A person who intends to conduct

condominiums management business is required to establish an office in Japan, and to be registered in the list maintained by the Ministry of Land, Infrastructure, Transport and Tourism.

35 Sector: Real Estate Appraisal Services

Sub-Sector:

Industry

Classification: JSIC 7294 Certified real estate

appraisers

Type of

Reservation: Market Access (Article 46)

Level of

Government: Central Government

Measures: Law Concerning the Appraisal of Real

Estate (Law No. 152 of 1963), Chapter

3

Description: A person who intends to supply real

estate appraisal services is required to establish an office in Japan and to be registered in the list maintained

by the Ministry of Land,

Infrastructure, Transport and Tourism or the prefecture having jurisdiction over the district where the office is

located.

36 Sector: Seafarers

Sub-Sector:

Industry

Classification: JSIC 031 Marine fisheries

JSIC 451 Oceangoing transport

JSIC 452 Coastwise transport

Type of

Reservation: Market Access (Article 46)

National Treatment (Article 47)

Level of

Government: Central Government

Measures: Mariners Law (Law No. 100 of 1947),

Chapter 4

The 9<sup>th</sup> Basic Plan for Employment

Measures (Cabinet Decision,

August 13, 1999)

Official Notification of the Director

General of Seafarers Department,

Maritime Technology and Safety Bureau

of the Ministry of Transport,

No. 115, 1990

Official Notification of the Director

General of Seafarers Department,

Maritime Technology and Safety Bureau

of the Ministry of Transport,

No. 327, 1990

Official Notification of the Director

General of Maritime Bureau of the Ministry of Land, Infrastructure and

Transport, No. 153, 2004

Description: Foreign nationals employed by

Japanese enterprises except for the seafarers referred to in the relevant official notifications may not work on the vessels flying the Japanese

flaq.

37 Sector: Services Related to Occupational

Safety and Health

Sub-Sector:

Industry

Classification: JSIC 7299 Professional services,

n.e.c.

JSIC 7441 Commodity inspection

services

JSIC 7452 Environmental surveying

certification

JSIC 8222 Vocational guidance centers

Type of

Reservation: Market Access (Article 46)

Level of

Government: Central Government

Measures: Industrial Safety and Health Law (Law

No. 57 of 1972), Chapters 5 and 8

Regulation Concerning Registered Inspection Agency and Other Related Agencies (Ministerial Ordinance of the Ministry of Labour No. 44 of 1972)

Working Environment Measurement Law (Law No. 28 of 1975), Chapters 2 and 3

Enforcement Regulation of the Working

Environment Measurement Law (Ministerial Ordinance of the Ministry of Labour No. 20 of 1975)

Description: A person who intends to supply

inspection or verification services for working machines, skill training course and other related services in connection with occupational safety and health, or working environment measurement services is required to be resident or to establish an office in Japan and to be registered with the Minister of Health, Labour and Welfare or Director-General of the Prefectural

Labour Bureau.

38 Sector: Surveying Services

Sub-Sector:

Industry

Classification: JSIC 7422 Surveying services

Type of

Reservation: Market Access (Article 46)

Level of

Central Government Government:

Survey Law (Law No. 188 of 1949), Measures:

Chapter 6

Description: A person who intends to supply

surveying services is required to establish a place of business in Japan and to be registered with the Minister of Land, Infrastructure, Transport and

Tourism.

Sub-Sector: Air Transport

Industry

Classification: JSIC 4600 Head offices primarily

engaged in managerial

operations

JSIC 4621 Aircraft service,

except air transport

Type of

Reservation: Market Access (Article 46)

National Treatment (Article 47)

Level of

Government: Central Government

Measures: Foreign Exchange and Foreign Trade

Law (Law No. 228 of 1949), Article 27

Cabinet Order on Foreign Direct Investment (Cabinet Order No. 261

of 1980), Article 3

Civil Aeronautics Law (Law No. 231 of

1952), Chapters 7 and 8

Description:

1. The prior notification requirement under the Foreign Exchange and Foreign Trade Law applies to foreign investors who intend to make investments in aerial work business in Japan.

- 2. Permission of the Minister of Land, Infrastructure, Transport and Tourism for conducting aerial work business is not granted to the following natural persons or entities applying for the permission:
- (a) a natural person who does not have Japanese nationality;
- (b) a foreign country, or a foreign
   public entity or its equivalent;
- (c) a legal person or other entity constituted under the laws of any foreign country; and

(d) a legal person represented by
 the natural persons or entities
 referred to in subparagraph (a),
 (b) or (c); a legal person of
 which more than one third of the
 members of the board of
 directors are composed of the
 natural persons or entities
 referred to in subparagraph (a),
 (b) or (c); or a legal person of
 which more than one third of the
 voting rights are held by the
 natural persons or entities
 referred to in subparagraph (a),
 (b) or (c).

In the event a person conducting aerial work business falls into a natural person or an entity referred to in subparagraphs (a) through (d), the permission will lose its effect. The conditions for the permission also apply to companies, such as holding companies, which have substantial control over the person conducting aerial work business.

3. A foreign aircraft may not be used for a flight between points within Japan.

Sub-Sector: Air Transport (Registration of

Aircraft in the National Register)

Industry
Classification:

Type of

Reservation: Market Access (Article 46)

National Treatment (Article 47)

Level of Government:

Central Government

Measures: Civil Aeronautics Law (Law No. 231 of

1952), Chapter 2

Description:

1. An aircraft owned by any of the following natural persons or entities may not be registered in the national register:

- (a) a natural person who does not have Japanese nationality;
- (b) a foreign country, or a foreign
   public entity or its equivalent;
- (c) a legal person or other entity constituted under the laws of any foreign country; and
- (d) a legal person represented by the natural persons or entities referred to in subparagraph (a),
  (b) or (c); a legal person of which more than one third of the members of the board of directors are composed of the natural persons or entities referred to in subparagraph (a),
  (b) or (c); or a legal person of which more than one third of the voting rights are held by the natural persons or entities referred to in subparagraph (a),
  (b) or (c).
- 2. A foreign aircraft may not be registered in the national register.

Sub-Sector: Customs Brokerage

Industry

Classification: JSIC 4899 Services incidental to

transport, n.e.c.

Type of

Reservation: Market Access (Article 46)

Level of

Government: Central Government

Measures: Customs Brokerage Law (Law

No. 122 of 1967), Chapter 2

Description: A person who intends to conduct

customs brokerage business is

required to have a place of business in Japan and to obtain permission of

the Director-General of Customs

having jurisdiction over the district where the person intends to conduct

customs brokerage business.

> Freight Forwarding Business Sub-Sector:

(excluding freight forwarding business using air transportation)

Industry

Classification: JSIC 4441 Collect-and-deliver

freight transport

JSIC 4821 Deliver freight transport,

except collect-and-deliver

freight transport

Type of

Most-Favoured-Nation Treatment Reservation:

(Article 45)

Market Access (Article 46)

National Treatment (Article 47)

Level of

Government: Central Government

Measures: Freight Forwarding Business Law (Law

No. 82 of 1989), Chapters 2, 3 and

Enforcement Regulation of Freight Forwarding Business Law (Ministerial

Ordinance of the Ministry of

Transport No. 20 of 1990)

Description:

1. The following natural persons or entities are required to be registered with, or to obtain permission or approval of, the Minister of Land, Infrastructure, Transport and Tourism for conducting freight forwarding business using

international shipping. Such

registration shall be made, or such permission or approval shall be

granted, on the basis of reciprocity:

- (a) a natural person who does not have Japanese nationality;
- (b) a foreign country, or a foreign public entity or its equivalent;
- (c) a legal person or other entity

- constituted under the laws of
  any foreign country; and
- (d) a legal person represented by
   the natural persons or entities
   referred to in subparagraph (a),
   (b) or (c); a legal person of
   which more than one third of the
   members of the board of
   directors are composed of the
   natural persons or entities
   referred to in subparagraph (a),
   (b) or (c); or a legal person of
   which more than one third of the
   voting rights are held by the
   natural persons or entities
   referred to in subparagraph (a),
   (b) or (c).
- 2. A person who intends to conduct freight forwarding business is required to establish an office in Japan, and to be registered with, or to obtain permission or approval of, the Minister of Land, Infrastructure, Transport and Tourism.

Sub-Sector: Railway Transport

Industry

Classification: JSIC 421 Railway transport

JSIC 4851 Railway facilities

services

Type of

Reservation: National Treatment (Article 47)

Level of

Government: Central Government

Measures: Foreign Exchange and Foreign Trade

Law (Law No. 228 of 1949), Article 27

Cabinet Order on Foreign Direct Investment (Cabinet Order No. 261

of 1980), Article 3

Description: The prior notification requirement

under the Foreign Exchange and

Foreign Trade Law applies to foreign

investors who intend to make investments in railway transport

industry in Japan.

The manufacture of vehicles, parts and components for the railway transport industry is not included in railway

transport industry.

Sub-Sector: Road Passenger Transport

Industry

Classification: JSIC 4311 Common omnibus operators

Type of

Reservation: National Treatment (Article 47)

Level of

Government: Central Government

Measures: Foreign Exchange and Foreign Trade

Law (Law No. 228 of 1949), Article 27

Cabinet Order on Foreign Direct Investment (Cabinet Order No. 261

of 1980), Article 3

Description: The prior notification requirement

under the Foreign Exchange and

Foreign Trade Law applies to foreign

investors who intend to make

investments in omnibus industry in Japan. The manufacture of vehicles, parts and components for omnibus industry is not included in omnibus

industry.

Sub-Sector: Road transport

Industry

Classification: JSIC 431 Common omnibus operators

JSIC 432 Common taxicab operators

JSIC 433 Contracted omnibus operators

JSIC 4391 Motor passenger transport (particularly-contracted)

JSIC 441 Common motor trucking

JSIC 442 Motor trucking (particularly-contracted)

JSIC 443 Mini-sized vehicle freight transport

Type of

Reservation: Market Access (Article 46)

Level of

Government: Central Government

Measures: Road Transport Law (Law No. 183 of

1951), Chapter 2

Trucking Business Law (Law No. 83 of

1989), Chapter 2

Description:

1. A person who intends to conduct road passenger transport business or road freight transport business is required to establish a place of business in Japan and to obtain permission of the Minister of Land, Infrastructure, Transport and Tourism.

2. In respect of common taxicab operators business, the Minister of Land, Infrastructure, Transport and Tourism may not grant permission to a person who intends to conduct the business, and a person who conducts the business may not be allowed to modify the business plan of such business, in the area including a designated area where the Minister of Land, Infrastructure, Transport and

Tourism designated as the "emergency supply/demand adjustment area". The "emergency supply/demand adjustment area" is designated where the Minister of Land Infrastructure, Transport and Tourism considers that the capacity of common taxicab transportation business in that area significantly exceeds the volumes of traffic demand, and that it would be difficult to secure the safety of transportation and the benefits of passengers, if the capacity of transportation further increases.

3. In respect of common motor trucking business or motor trucking business (particularly-contracted), the Minister of Land, Infrastructure, Transport and Tourism may not grant permission to a person who intends to conduct the business, and a person who conducts the business may not be allowed to modify the business plan of such business, in the area including a designated area where the Minister of Land, Infrastructure, Transport and Tourism designated as the "emergency supply/demand adjustment area". The "emergency supply/demand adjustment area" is designated where the Minister of Land, Infrastructure, Transport and Tourism considers that the capacity of common motor trucking business or motor trucking business (particularlycontracted) in that area has significantly exceeded the volumes of transportation demand to the extent that the operation of existing business would become difficult.

Sub-Sector: Services Incidental to Transport

Industry

Classification: JSIC 4852 Fixed facilities for road

transport

Type of

Reservation: Market Access (Article 46)

Level of

Government: Central Government

Measures: Road Transport Law (Law No. 183 of

1951), Chapter 4

Description: A person who intends to conduct

motorway business is required to obtain license from the Minister of Land, Infrastructure, Transport and Tourism. The issuance of a licence is subject to an economic needs test, such as whether the proposed motorway is appropriate in scale

compared with the volume and nature of traffic demand in the proposed area.

> Sub-Sector: Services Incidental to Transport

Industry
Classification:

Type of

Reservation: Market Access (Article 46)

National Treatment (Article 47)

Level of

Government: Central Government

Pilotage Law (Law No. 121 of 1949), Chapters 2, 3 and 4  $\,$ Measures:

Description: Only a Japanese national may become a

pilot in Japan.

Pilots directing ships in the same pilotage district are required to establish a pilot association for the

pilotage district.

Sub-Sector: Water Transport

Industry

Classification: JSIC 451 Oceangoing transport

Type of

Reservation: Most-Favoured-Nation Treatment

(Article 45)

Market Access (Article 46)

National Treatment (Article 47)

Level of

Government: Central Government

Measures: Law Concerning Special Measures

against Unfavorable Treatment to Japanese Oceangoing Ship Operators by Foreign Government (Law No. 60 of

1977)

Description: Swiss oceangoing ship operators may

be restricted or prohibited from

entering ports in Japan or

from loading and unloading cargoes in

Japan in cases where Japanese Oceangoing ship operators are prejudiced by Switzerland.

Sub-Sector: Water Transport

Industry

Classification: JSIC 452 Coastwise transport

JSIC 453 Inland water transport

JSIC 4542 Coastwise ship leasing

Type of

Reservation: National Treatment (Article 47)

Level of

Government: Central Government

Measures: Foreign Exchange and Foreign Trade

Law (Law No. 228 of 1949), Article 27

Cabinet Order on Foreign Direct Investment (Cabinet Order No. 261

of 1980), Article 3

Description: The prior notification requirement

under the Foreign Exchange and

Foreign Trade Law applies to foreign

investors who intend to make investments in water transport

industry in Japan.

For greater certainty, "water transport industry" refers to oceangoing/seagoing transport, coastwise transport (i.e. maritime transport between ports within Japan),

inland water transport and ship

leasing industry.

However, oceangoing/seagoing transport industry and ship leasing industry excluding coastwise ship leasing industry are exempted from the prior

notification requirement.

Sub-Sector: Water Transport

Industry

Classification:

Type of

Reservation: Most-Favoured-Nation Treatment

(Article 45)

Market Access (Article 46)

National Treatment (Article 47)

Level of

Government: Central Government

Measures: Ship Law (Law No. 46 of 1899),

Article 3

Description: Unless otherwise specified in laws

and regulations of Japan or

international agreements to which Japan is a party, ships not flying the Japanese flag are prohibited from

entering ports in Japan which

are not open to foreign commerce and from carrying cargoes or passengers

between ports in Japan.

51 Sector: Vocational Skills Test

Sub-Sector:

Industry
Classification:

Type of

Reservation: Market Access (Article 46)

Level of

Central Government Government:

Human Resources Development Promotion Measures:

Law (Law No. 64 of 1969), Chapter 5

Description: An enterprise which intends to carry

out the vocational skills test for workers is required to establish an office in Japan and to be designated by the Minister of Health, Labour and

Welfare.

52 Sector: Water Supply and Waterworks

Sub-Sector:

Industry

Classification: JSIC 3611 Water for end users,

except industrial users

Type of

Reservation: National Treatment (Article 47)

Level of

Government: Central Government

Measures: Foreign Exchange and Foreign Trade

Law (Law No. 228 of 1949), Article 27

Cabinet Order on Foreign Direct Investment (Cabinet Order No. 261

of 1980), Article 3

Description: The prior notification requirement

under the Foreign Exchange and

Foreign Trade Law applies to foreign

investors who intend to make investments in water supply and waterworks industry in Japan.

53 Sector: Wholesale and Retail Trade

Sub-Sector: Livestock

Industry

Classification: JSIC 5219 Miscellaneous agricultural,

animal and poultry farm and

aquatic products

Type of

Reservation: Market Access (Article 46)

Level of

Government: Central Government

Measures: Livestock Dealer Law

(Law No. 208 of 1949), Article 3

Description: A person who intends to conduct

livestock trading business is

required to be resident in Japan and

to obtain a licence from the prefectural governor having jurisdiction over the place of residence. For greater certainty, "livestock trading" means the trading

"livestock trading" means the trading or exchange of livestock, or the good offices for such trading or exchange. 54 Sector: All Sectors

Sub-Sector:

Industry
Classification:

Type of

Reservation: Market Access (Article 46)

National Treatment (Article 47)

Level of

Government: Local governments other than

prefectures

Measures:

Description: Any existing measure that is

maintained, continued or renewed at any time by local governments other than prefectures is reserved by Japan to the extent not inconsistent with Japanese specific commitments under

the GATS.

At the time of entry into force of this Agreement, Japan will provide Switzerland with a non-legally binding list of existing measures by local governments other than prefectures.

Japan shall take such reasonable measures as may be available to it to increase the conformity of the existing measures by such local

governments.

## Section 2 Future Measures

- 1. This list sets out, pursuant to Article 57, the reservations taken by Japan with respect to specific sectors, sub-sectors or activities for which it may maintain existing, or adopt new or more restrictive, measures that do not conform with obligations imposed by;
  - (a) Article 45
  - (b) Article 46
  - (c) Article 47
- 2. Each reservation in this list sets out the following elements:
  - (a) "Sector" refers to the general sector in which the reservation is taken;
  - (b) "Sub-Sector" refers to the specific sector in which the reservation is taken;
  - (c) "Industry Classification" refers, where applicable, and only for transparency purposes, to the activity covered by the reservation according to domestic or international industry classification codes;
  - (d) "Type of Reservation" specifies the obligations referred to in paragraph 1 for which the reservation is taken;
  - (e) "Description" sets out the scope of the sector, sub-sector or activities covered by the reservation; and
  - (f) "Existing Measures" identifies, for transparency purposes, existing measures that apply to the sector, sub-sector or activities covered by the reservation.
- 3. In the interpretation of a reservation, all elements of the reservation shall be considered. The "Description" element shall prevail over all other elements.
- 4. With respect to Financial Services:
  - (a) For prudential reasons within the context of paragraph 1 of Article VI of Annex VI, Japan shall not be prevented from taking measures such

as non-discriminatory limitations on juridical forms of a commercial presence. For the same reasons, Japan shall not be prevented from applying non-discriminatory limitations concerning admission to the market of new financial services which shall be consistent with regulatory framework aimed at achieving such prudential objectives. In this context, securities firms are allowed to deal in securities defined in the relevant laws of Japan, and banks are not allowed to deal in those securities unless allowed in accordance with those laws.

- (b) Services supplied in the Area of Switzerland to the service consumer in Japan without any active marketing from the service supplier are considered as services supplied under subparagraph (t)(ii) of Article 44.
- 5. With respect to air transport services, measures affecting traffic rights or measures affecting services directly related to the exercise of traffic rights are not listed in this list, as these are excluded from the scope of Chapter 6 pursuant to paragraph 2 of Article 43.
- 6. Laws and regulations with regard to spectrum availability affecting obligations under Article 46 (Market Access) are not included in this list, taking into account the Attachment 6 of Guidelines for the Scheduling of Specific Commitments (WTO Document S/L/92, dated 28 March 2001).
- 7. For the purposes of this Appendix:
  - (a) "JSIC" means Japan Standard Industrial Classification set out by the Statistics Bureau, Ministry of Internal Affairs and Communications, and revised on 6 November 2007; and
  - (b) "CPC" means the Provisional Central Product Classification (Statistical paper Series M, No. 77, Department of International Economic and Social Affairs, Statistical Office of the United Nations, New York, 1991).

1 Sector: All Sectors

Sub-Sector:

Industry
Classification:

Type of

Reservation: Market Access (Article 46)

National Treatment (Article 47)

Description:

When transferring or disposing of its equity interests in, or the assets of, a state enterprise or a governmental entity, Japan reserves the right to:

- (a) prohibit or impose limitations on the ownership of such interests or assets by investors of Switzerland or their investments;
- (b) impose limitations on the ability of investors of Switzerland or their investments as owners of such interests or assets to control any resulting enterprise; or
- (c) adopt or maintain any measure relating to the nationality of executives, managers or members of the board of directors of any resulting enterprise.

Existing Measures:

2 Sector: All Sectors

Sub-Sector:

Industry
Classification:

Type of

Reservation: Market Access (Article 46)

National Treatment (Article 47)

Description: Japan reserves the right to adopt or

maintain any measure relating to

the supply of telegraph

services, postal services, betting and gambling services and sale of coinage in Japan, which are restricted

to designated enterprises or

governmental entities.

In the event where the supply of telegraph services, postal services, betting and gambling services and sale

of coinage in Japan, which are

restricted to designated enterprises

or governmental entities, are liberalised to those other than the designated enterprises or governmental entities, or in the

event where such designated

enterprises or governmental entities no longer operate on a non-commercial basis, Japan reserves the right to

adopt or maintain any measure relating to those activities.

Existing Measures:

Telecommunications Business Law (Law

No. 86 of 1984) Supplementary

Provisions, Article 5

Postal Law (Law No. 165 of 1947),

Article 2

Horse Racing Law (Law No. 158 of 1948)

Article 1

The Law relating to Motorboat Racing (Law No. 242 of 1951), Article 2

Bicycle Racing Law (Law No. 209 of

1948), Article 1

Auto Racing Law (Law No. 208 of 1950), Article 3

Lottery Law (Law No. 144 of 1948), Article 4

The Law relating to Unit of Currency and Issue of Coin (Law No. 42 of 1987), Article 10

3 Sector: All Sectors (New Services)

Sub-Sector:

Industry
Classification:

Type of

Reservation: Most-Favoured-Nation Treatment

(Article 45)

Market Access (Article 46)

National Treatment (Article 47)

Description:

Japan reserves the right to adopt or maintain any measure relating to new services other than those recognised or that should have been recognised owing to the circumstances at the time of entry into force of this Agreement by the Government of Japan.

Any services classified positively and explicitly in JSIC or CPC at the time of entry into force of this Agreement should have been recognised by the Government of Japan at that time.

Japan reserves the right to adopt or maintain any measure relating to the supply of services in any mode of supply in which those services were not technically feasible at the time of entry into force of this Agreement.

This reservation shall not apply to Article II of Annex VI.

4 Sector: All Sectors

Sub-Sector:

Industry
Classification:

Type of

Reservation: National Treatment (Article 47)

Description: Japan reserves the right to adopt or

maintain any measure related to research and development subsidies including any direct or indirect contribution, financial or otherwise, to research and development provided by the Government of Japan or any

Japanese public body.

5 Sector: Aerospace Industry

Sub-Sector: Machine Repair Related to Aircraft

Industry

Industry

Classification:

Type of

Reservation: Market Access (Article 46)

National Treatment (Article 47)

Description: Japan reserves the right to adopt or

maintain any measure relating to the supply of services in this sub-sector to the extent not inconsistent with

its commitments under the GATS.

Existing

Measures: Aircraft Manufacturing Industry Law

(Law No. 237 of 1952), Articles 2

through 5

Foreign Exchange and Foreign Trade Law

(Law No. 228 of 1949), Articles 27 and

30

Cabinet Order on Foreign Direct Investment (Cabinet Order No. 261

6 Sector: Aerospace Industry

Sub-Sector: Machine Repair Related to Satellites

and Rockets

Industry

Classification:

Type of

Reservation: Market Access (Article 46)

National Treatment (Article 47)

Description: Japan reserves the right to adopt or

maintain any measure relating to the supply of services in this sub-sector.

Existing

Measures: Foreign Exchange and Foreign Trade Law

(Law No. 228 of 1949), Articles 27 and

30

Cabinet Order on Foreign Direct Investment (Cabinet Order No. 261

7 Sector: Arms and Explosives Industry

Sub-Sector: Machine Repair Related to Arms and

Explosives Industry

Industry

Classification:

Type of

Reservation: Market Access (Article 46)

National Treatment (Article 47)

Description: Japan reserves the right to adopt or

maintain any measure relating to the supply of services in this sub-

sector.

Existing

Measures: Ordnance Manufacturing Law (Law No.

145 of 1953), Articles 3 and 5

Foreign Exchange and Foreign Trade Law (Law No. 228 of 1949), Articles

27 and 30

Cabinet Order on Foreign Direct Investment (Cabinet Order No. 261

8 Sector: Information and Communications

Sub-Sector: Broadcasting Industry

Industry

Classification: JSIC 380 Establishments engaged in

administrative or ancillary

economic activities

JSIC 381 Public broadcasting, except

cablecasting

JSIC 382 Private-sector

broadcasting, except

cablecasting

JSIC 383 Cablecasting

Type of

Reservation: Market Access (Article 46)

National Treatment (Article 47)

Description: Japan reserves the right to adopt or

maintain any measure relating to

the supply of services in broadcasting industry.

Existing

Measures: Foreign Exchange and Foreign Trade Law

(Law No. 228 of 1949), Article 27

Cabinet Order on Foreign Direct

Investment (Cabinet Order No. 261 of

1980), Article 3

Radio Law (Law No.131 of 1950),

Chapter 2

Broadcast Law (Law No. 132 of 1950),

Chapters 3, 3-3 and 3-4

Law concerning Broadcast through Use

of Telecommunications Services (Law

No. 85 of 2001), Chapter 2

Education, Learning Support Sector:

Primary and Secondary Educational Sub-Sector:

Services

Industry

Classification: JSIC 811 Kindergartens

JSIC 812 Elementary schools

JSIC 813 Lower secondary schools

JSIC 814 Upper secondary schools,

secondary schools

JSIC 815 Schools for special needs

education

Type of

Reservation: Market Access (Article 46)

National Treatment (Article 47)

Description: Japan reserves the right to adopt or

maintain any measure relating to

the supply of primary and secondary educational services.

Existing

Fundamental Law of Education (Law No. Measures:

120 of 2006), Article 6

School Education Law (Law No. 26 of

1947), Article 2

Private School Law (Law No. 270 of

1949), Article 3

10 Sector: Energy

> Sub-Sector: Machine Repair Related to Nuclear

> > Energy

Industry

Classification: JSIC 9011 General machine repair

shops, except construction

and mining machinery

Note: The activities covered by the

reservation under JSIC 9011 are

limited to the activities related to nuclear energy

industry.

Type of

Reservation: Market Access (Article 46)

National Treatment (Article 47)

Description: Japan reserves the right to adopt or

> maintain any measure relating to the supply of services in this sub-sector.

Existing

Measures: Foreign Exchange and Foreign Trade

Law (Law No. 228 of 1949), Articles

27 and 30

Cabinet Order on Foreign Direct Investment (Cabinet Order No. 261 of 1980), Articles 3 and 5

11 Sector: Energy

Sub-Sector: Services Incidental to Energy

Distribution (Transmission Services on a Fee or Contract Basis of

Electricity)

Industry

Classification: JSIC 330 Establishments engaged in

administrative or ancillary

economic activities

JSIC 331 Production, transmission and

distribution of electricity

Type of

Reservation: Market Access (Article 46)

National Treatment (Article 47)

Description: Japan reserves the right to adopt or

maintain any measure relating to the supply of services in this sub-sector.

Existing

Measures: Electricity Business Law (Law No. 170

of 1964), Articles 3 and 5

Foreign Exchange and Foreign Trade

Law (Law No. 228 of 1949), Articles

27 and 30

Cabinet Order on Foreign Direct Investment (Cabinet Order No. 261

12 Sector: Energy

> Sub-Sector: Specified Radioactive Waste Final

Disposal Services

Industry

Classification: JSIC 8899 Waste treatment services,

n.e.c

Type of

Reservation: Market Access (Article 46)

Description: Japan reserves the right to adopt or

maintain any measure relating to the supply of services in this sub-sector.

Existing

Specified Radioactive Waste Final Disposal Act (Law No. 117 of 2000), Measures:

Chapter 5

13 Sector: Energy

Sub-Sector: Transportation Services of Natural Gas

on a Fee or Contract Basis

Industry

Classification: JSIC 340 Establishments engaged in

administrative or ancillary

economic activities

JSIC 3411 Gasworks

JSIC 3412 Gas distribution

Type of

Reservation: Most-Favoured-Nation-Treatment

(Article 45)

Market Access (Article 46)

National Treatment (Article 47)

Description: Japan reserves the right to adopt or

maintain any measure relating to the supply of services in this sub-sector.

Existing Measures:

Gas Business Law (Law No. 51 of 1954),

Articles 3 and 5

Foreign Exchange and Foreign Trade Law (Law No. 228 of 1949), Articles

27 and 30

Cabinet Order on Foreign Direct Investment (Cabinet Order No. 261

14 Sector: Financial Services

Sub-Sector: Banking and other financial services (excluding Insurance)

Industry Classification:

Type of

Reservation: Market Access (Article 46)

National Treatment (Article 47)

Description:

Japan reserves the right to adopt or maintain any measure with respect to trade in services as defined in subparagraph (t)(i) of Article 44 for banking and other financial services, other than:

- (a) securities-related transactions with financial institutions and other entities in Japan as prescribed by the relevant laws and regulations of Japan;
- (b) sales of a beneficiary certificate of an investment trust and an investment security, through securities firms in Japan; and Note: Solicitation must be conducted by securities firms in Japan.
- (c) provision and transfer of financial information and financial data processing as referred to in subparagraph 2(a)(i)(0) of Article I of Annex VI and advisory and other auxiliary services, excluding intermediation, relating to banking and other financial services as referred to in subparagraph 2(a)(i)(P) of Article I of Annex VI.

Japan reserves the right to adopt or maintain any measure with respect to trade in services as defined in subparagraph (t)(ii) of Article 44 of banking and other financial services,

other than the services as referred to in subparagraph 2(a)(i)(E) to (P) of Article I of Annex VI.

15 Sector: Financial Services

Sub-Sector: Insurance and Insurance-Related

Services

Industry
Classification:

Type of

Reservation: Market Access (Article 46)

National Treatment (Article 47)

Description:

Japan reserves the right to adopt or maintain any measure with respect to trade in services as defined in subparagraph (t)(i) of Article 44 and trade in services as defined in subparagraph (t)(ii) of Article 44 for insurance and insurance-related services, other than the following services, whether supplied by a financial service supplier of Switzerland established in Switzerland as a principal, through an intermediary or as an intermediary:

- (a) insurance of risks relating to:
  - (i) maritime shipping and commercial aviation and space launching and freight (including satellites), with such insurance to cover any or all of the following: the goods being transported, the vehicle transporting the goods and any liability arising therefrom; and
  - (ii) goods in international transit;
     and
- (b) reinsurance, retrocession and the services auxiliary to insurance as referred to in subparagraph 2(a)(i)(D) of Article I of Annex VI.

Note: Insurance intermediation services may be supplied only for insurance contracts allowed to be supplied in

Japan.

16 Sector: Fisheries

Sub-Sector: Fisheries within the Territorial Sea,

Internal Waters, Exclusive Economic

Zone and Continental Shelf

Industry

Classification: JSIC 031 Marine fisheries

JSIC 032 Inland water fisheries

JSIC 041 Marine aquaculture

JSIC 042 Inland water aquaculture

JSIC 8093 Recreational fishing

quide business

Type of

Reservation: Most-Favoured-Nation Treatment

(Article 45)

Market Access (Article 46)

National Treatment (Article 47)

Description:

Japan reserves the right to adopt or maintain any measure relating to the supply of services

in fisheries in the territorial sea, internal waters, exclusive economic zone and continental shelf of Japan.

For the purposes of this reservation, "Fisheries" means the work of taking and cultivation of aquatic resources, including the following fisheries related services:

- (a) investigation of aquatic resources without taking such resources;
- (b) luring of aquatic resources;
- (c) preservation and processing of fish catches;
- (d) transportation of fish catches
   and fish products; and
- (e) provision of supplies to other vessels used for fisheries.

Existing Measures:

Foreign Exchange and Foreign Trade Law (Law No. 228 of 1949), Article 27

Cabinet Order on Foreign Direct Investment (Cabinet Order No. 261 of 1980), Article 3

Law for Regulation of Fishing Operation by Foreign Nationals (Law No. 60 of 1967), Articles 3, 4 and 6

Law concerning the Exercise of Sovereign Rights concerning Fisheries in the Exclusive Economic Zones (Law No. 76 of 1996), Articles 4 through 12 and 14 17 Sector: Land Transaction

Sub-Sector:

Industry

Classification:

Type of

Reservation: Most-Favoured-Nation Treatment

(Article 45)

National Treatment (Article 47)

Description: With respect to the acquisition or

lease of land properties in Japan, prohibitions or restrictions may be imposed by Cabinet Order on Swiss nationals or legal persons, where Japanese nationals or legal persons are placed under identical or similar

prohibitions or restrictions in

Switzerland.

Existing

Measures: Alien Land Law (Law No. 42, 1925),

Article 1

18 Sector: Manufacturing

Sub-Sector: Services Incidental to Aircraft

Industry, Explosives Industry, Arms

Industry and Aerospace Industry

Industry

Classification:

Type of

Reservation: Market Access (Article 46)

National Treatment (Article 47)

Description: Japan reserves the right to adopt or

maintain any measure relating to the supply of services in this sub-sector.

Existing

Measures: Aircraft Manufacturing Industry Law

(Law No. 237 of 1952), Articles 2

through 5

Ordnance Manufacturing Law (Law No.

145 of 1953), Article 5

Foreign Exchange and Foreign Trade Law (Law No. 228 of 1949), Article 27

Cabinet Order on Foreign Direct

Investment (Cabinet Order No. 261 of 1980), Article 3

19 Sector: Public Law Enforcement and

Correctional Services and Social

Services

Sub-Sector:

Industry

Classification:

Type of

Reservation: Most-Favoured-Nation Treatment

(Article 45)

Market Access (Article 46)

National Treatment (Article 47)

Description: Japan reserves the right to adopt or

maintain any measure relating to

the supply of services in public law enforcement and

correctional services, and in social services such as income security or

insurance, social security or insurance, social welfare, public training, health and child care.

20 Sector: Security Guard Services

Sub-Sector:

Industry

Classification: JSIC 923 Guard services

Type of

Reservation: Market Access (Article 46)

National Treatment (Article 47)

Description: Japan reserves the right to adopt or

> maintain any measure relating to the supply of security guard services.

Existing

Security Business Law (Law No. 117 of 1972), Articles 4 and 5Measures:

Foreign Exchange and Foreign Trade Law (Law No. 228 of 1949), Article 27

Cabinet Order on Foreign Direct Investment (Cabinet Order No. 261

of 1980), Article 3